

Course catalogue

Master in Analysis and Design of Social Protection Systems

August, 2016

1. Objectives

The master's programme offers an interdisciplinary and in-depth study of social protection systems. Graduates of the degree will be able to design "smart" systems, which can be sustainably financed while being effective and flexible. Graduates will also be in a position to contribute towards optimizing and, if necessary, reorganising existing social protection systems, adapting them to future demographic, economic and social challenges.

We want our graduates to:

- analyze the characteristics, determinants and instruments of social protection systems, covering low-, middle- as well as high-income countries
- know how to use different methods of analysis for designing and reorganizing social protection systems
- have a solid understanding of how to institutionalise and sustainably finance social protection systems
- be comfortable using state-of-the-art management methods to implement social protection interventions effectively and efficiently
- establish an interdisciplinary and interinstitutional network of national and international experts

The curriculum mirrors the core competencies that we think are essential to be actively involved in planning, managing, evaluating and reforming social protection systems over time. The master programme imparts technical knowledge about the genesis, characteristics and policy instruments in social protection systems and transfers the analytical skills that are necessary to tailor a social protection system to the respective economic, political, cultural and institutional opportunities and challenges of a country and further develop it over time, reacting to reform needs and opportunities.

The master's programme does not have an explicit regional focus as its primary objective is to allow students to work in various contexts and with social protection systems of different degrees of maturity. In all modules, examples from low-, middle- and high-income countries will be provided. Case studies, group discussions and projects as well as the various backgrounds of students and lecturers also serve to familiarise students with different contexts. The specialisation in the master's programme then gives students the chance to champion a particular topic on social protection and to obtain an expertise that will be particularly relevant for a given professional environment.

Research also occupies a central role in the master's programme. The programme conveys central methods for designing and carrying out scientific research and guides students through conducting their own research for their master's thesis on a topic that they consider particularly vital and that they would like to study in greater depth. This is not only relevant for those who would like to pursue a career in research or academia later on but is equally a great opportunity to specialise in a certain topic.

As challenges in social protection policy can rarely be mastered alone but usually require a fruitful exchange with various actors, we place great emphasis on strengthening the communication and social competencies of our students. These competencies are fostered through case studies, group projects, presentations and interactive lecturing in an intercultural and interdisciplinary group. We also offer a separate module on political and change communication and ensure that there are opportunities for students to socialise and benefit from each other's expertise during as well as after their studies.

2. Overview of course modules

Curriculumsübersicht										
1st semester										
Module No	Module	CP / Semester			Workload		Teaching & Learning methods	Pre-requisites	Methods of examination	Emphasis of grade
		1.	2.	3.	Contact hours (45min)	Independent study (1h)				
M1	Module 1: Comparative analysis of social protection systems	6			60	105				6 / 90
M 1.1	Social protection systems - constitutive elements and determining factors	2			20	35	IL, E, D, ST	None	Written exam (take home)	
M 1.2	Social protection actors	2			20	35				
M 1.3	International social protection policy	2			20	35				
M2	Module 2: Social protection policy instruments & design options	8			80	140				
M 2.1	Contributory schemes	2,5			25	43,75	IL, E, D	None	(1) Presentation (50%) (2) Policy paper (50%)	8 / 90
M 2.2	Tax-financed transfers and services	3,5			35	61,25				
M 2.3	Promotional measures	2			20	35				
M3	Module 3: Analysis for social protection design	6			60	105				
M 3.1	Assessing social protection needs and priorities	2			20	35	IL, E, D	None	Case study	6 / 90
M 3.2	Assessing the political economy of social protection	1			10	17,5				
M 3.3	Assessing capacity to implement social protection interventions	1			10	17,5				
M 3.4	Assessing inter-linkages and graduation possibilities	2			20	35				
M4	Module 4: Social protection financing & modelling	6			60	105				6 / 90
M 4.1	Social expenditure and resource mobilization	2			20	35	IL, E, D, CS	None	Written exam (in-class)	
M 4.2	Financing techniques	2			20	35				
M 4.3	Modelling social expenditure & revenues	2			20	35				
M5	Module 5 (1): Research methods	2			20	35				
M 5.1	Research methods (1)	2			20	35	IL, E, D	None	Research proposal	5 / 90
M6	Module 6 (1): Simulation & evaluation	4			50	62,5				8 / 90
M 6.1	Applied econometrics & introduction to a statistical software	2			30	27,5	IL, E, (O)D, P, CS	None	(1) Data analysis assignment (50%) (2) Concept paper for an evaluation design (50%)	
M 6.2	Micro-simulation	2			20	35				
2nd semester										
M7	Module 7: Social protection governance		6		45	116,25				6 / 90
M 7.1	Governance approaches in social protection		2		15	38,75	IL, E, (O)D, GP	None	Wiki on social protection governance	
M 7.2	Accountability mechanisms		2		15	38,75				
M 7.3	Social protection law		2		15	38,75				
M8	Module 8: Social protection management		6		45	116,25				
M 8.1	Social protection delivery mechanisms		2		15	38,75	IL, E, (O)D	None	Portfolio	6 / 90
M 8.2a	Financial management		2		15	38,75				
M 8.2b	Investing social security reserves		2		15	38,75				
M 8.3	Information management		2		15	38,75				
M5	Module 5(2): Research methods		3		30	52,5				5 / 90
M 5.2	Research methods (2)		3		30	52,5	IL, E, D	None	Research proposal	
M6	Module 6 (2): Simulation & evaluation		4		30	77,5				8 / 90
M 6.3	Evaluation of individual interventions		2		15	38,75			(1) Data analysis assignment (50%) (2) Concept paper for an evaluation design (50%)	
M 6.4	Evaluation of social protection systems		2		15	38,75				
M9	Module 9: Social protection reforms		6		45	116,25				6 / 90
M 9.1	Understanding reforms		2		15	38,75	IL, E, OD	None	Journal paper	
M 9.2	Managing reforms		2		15	38,75				
M 9.3	Communicating reforms		2		15	38,75				
M10	Module 10: Electives (1)		4		40	70				
M 10.1	Specialization Vulnerability		4		40	70	IL, E, (O)D	None	Portfolio	8 / 90
M 10.2	Specialization Health		4		40	70				
M 10.3	Specialization Old Age		4		40	70				
M 10.4	Specialization Return to Work		4		40	70				
M 10.5	Specialization Climate Change		4		40	70				
3rd Semester										
M10	Module 10: Electives (2)			4	40	70				8 / 90
M 10.1	Specialization Vulnerability			4	40	0	IL, E, (O)D	None	Portfolio	
M 10.2	Specialization Health			4	40	0				
M 10.3	Specialization Old Age			4	40	0				
M 10.4	Specialization Return to Work			4	40	0				
M 10.5	Specialization Climate Change			4	40	0				
M11	Module 11: Master thesis			25		625				25 / 90
							(1) Module 5 (2) A total of 46 ECTS		Master's thesis	

Summe	32	29	29	605	1796,25
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IL Interactive lecturing
E Exercises
D Discussions
OD Online discussions

ST Study tour
CS Case studies
P Presentations
GP Group project

Course descriptions

Name of module: Comparative analysis of social protection systems						
No. / code of module		Workload	Credits	Semester	Frequency of module offer	Duration
1		150 h	6 ECTS	1st Sem.	Winter semester	3 weeks
1	Courses of the module 1.1 Social protection systems - constitutive elements and determining factors 1.2 Actors of social protection 1.3 International social protection policy		Contact hours 60 h	Independent study 105 h	Group size 25-30	
2	Learning outcomes and competencies Module 1 familiarizes you with the basic concepts, the main actors as well as theoretical approaches behind the rise, growth and entrenchment of social protection systems. You learn how to compare different social protection systems and study the constitutive elements as well as differences across systems. You are sensitized about the importance of contextual factors and understand that the welfare state is no longer a purely national affair but shaped by regional and global influences and regulations. In greater details, you will... <ul style="list-style-type: none">• understand the constitutive elements of social protection systems including the various actors and how they have historically developed in various context• learn how to compare different social protection systems and on which data to draw on• study and critically discuss different welfare typologies, their merits and challenges• reflect on the explanatory approaches for the existence, growth and entrenchment of social protection systems• be able to place the concept of social protection into the wider policy arena and draw linkages with other sectors• understand the social, economic and political rationale of social protection as well as any conflicting forces• get to understand the interplay of actors in social protection systems and where conflicts arise between the market, state, the family and civil society.• discuss the arrival of new actors in social protection (such as companies) and how they have influenced the provision of social protection• know international regulations and institutions and in what way they shape national social protection policies• learn how national social protection systems are influenced by international crises and other challenges such as migration					
3	Contents of the module 1.1 Social protection systems - constitutive elements and determining factors <ul style="list-style-type: none">• Definition of social protection• History of welfare state development• Theories of the welfare state					

	<ul style="list-style-type: none"> • Welfare state typologies in the Western world • Political economy models of social protection systems • Legal grounding of social protection • Values and social justice norms shaping social protection systems • Main methods / indicators for comparative analysis of social protection systems <p>1.2 Actors of social protection</p> <ul style="list-style-type: none"> • Welfare pentagon • Market failure and the role of the state • Actor mixes across welfare states • Arrival and influence of new actors such as the private sector • Role and influence of international actors <p>1.3 International social protection policy</p> <ul style="list-style-type: none"> • Globalisation and the welfare state • Global policy ideas, norms and regulations • Transportability and coordination at regional and international level (example of the European Union) • International crises affecting the welfare state • Migration and the welfare state
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, discussions, study tour</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Take-home exam (2 days)</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the take-home exam</p>
8	<p>Emphasis of the grade for the final grade</p> <p>6,67% (6/90)</p>
9	<p>Person responsible for module</p> <p>Esther Schüring</p> <p>Teaching staff</p> <p>1.1 Esther Schüring / Markus Loewe</p> <p>1.2 Esther Schüring</p> <p>1.3 Gabriele Köhler / Esther Schüring</p>
10	<p>Required literature</p> <p>Module 1.1</p> <p>Arts, W. & Gelissen, J. (2002). Three Worlds of Welfare Capitalism or More? A State-of-the-art Report. <i>Journal of European Social Policy</i>, 12, 137-158.</p> <p>Alwang, J., Siegel, P. & Jørgensen, S. (2001). Vulnerability: A view from different disciplines, Washington D.C.: World Bank (Social Protection Discussion Paper 0115).</p> <p>Barrientos, A. & Pellissery, S. (2012). Delivering effective social assistance: Does politics</p>

matter? (ESID Working Paper 9). ESID: Manchester.

Esping-Andersen, G. (1998). The Three Political Economies of the Welfare State. In Julia O'Connor and G. M. Olsen (Eds.), *Power Resources Theory and the Welfare State: A Critical Approach* (pp. 123-153). University of Toronto Press.

Kuhnle S. & Sander, A. (2010). The Emergence of the Western Welfare State. In F. Castles, S. Leibfried, J. Lewis, H. Obinger & C. Pierson (Eds.), *The Oxford Handbook of the Welfare State* (pp.61-80). Oxford University Press.

Myles, J. & Quadagno, J. (2002). Political Theories of the Welfare State. *Social Service Review*, 76, 34-57.

Module 1.2

Daly, M.. (2010). Families versus State and Market. In F. Castles, S. Leibfried, J. Lewis, H. Obinger & C. Pierson (Eds.), *The Oxford Handbook of the Welfare State* (pp. 139-151). Oxford University Press.

Cammett, M. & MacLean, L. (2014). The Political Consequences of Non-state Social Welfare: An Analytical Framework. In M. Cammett & L. M. MacLean (Eds.), *The Politics of Non-state Social Welfare* (pp. 31-56). Cornell: Cornell University Press.

de Neubourg, C. (2002). The welfare pentagon and the social management of risks. In R. Sigg & C. Behrendt (Eds.), *Social security in the global village* (pp.313-332), New Brunswick, NJ: Transaction Publishers.

Morduch, J. (1999). Between the State and the Market: Can Informal Insurance Patch the Safety Net? *The World Bank Research Observer*, 14(2),187-207.

Module 1.3

Deacon, B. (2008). Global and regional social governance. In N. Yeats (Ed.) *Understanding global social policy*. (pp. 25-48) Bristol: The Policy Press.

Genschel, P. (2004). Globalization and the welfare state: A retrospective. *Journal of European Public Policy*, 11(4), 613–636.

Hulme, R. & Hulme, M. (2008). The global transfer of social policy. In N. Yeats (Ed.) *Understanding global social policy* (pp.49-71). Bristol: The Policy Press.

Koehler, G. (2014). Some preliminary reflections on development, public policy and welfare states. In G. Koehler & D. Chopra (Eds.). *Development and Welfare Policy in South Asia* (pp.9-24). Abingdon: Routledge.

Zeitlin, J. (2009). The Open Method of Coordination and reform of national social and employment policies: influences, mechanisms, effects. In M. Heidenreich & J. Zeitlin (Eds.) *Changing European Employment and Welfare Regimes: The Influence of the Open Method of Coordination on National Reforms* (pp. 214-245). Routledge.

Recommended literature

Module 1.1

Holzmann, R., Robalino, D. & Takayama, N. (2009). *Closing the coverage gap: The role of social pensions and other retirement income transfers*. Washington D.C.: World Bank.

Immergut, E. (2010). Political Institutions. In F. Castles, S. Leibfried, J. Lewis, H. Obinger & C. Pierson (Eds.), *The Oxford Handbook of the Welfare State* (pp.227-240). Oxford University Press.

International Labour Office (2010). *World Social Security Report 2010/2011: Covering people in times of crisis and beyond*.

Loewe, M. (2009). *The third way to social protection: Promoting group-based micro-insurance*.

Journal of Insurance and Risk Management, 4 (1), 72-90.

Schmidt, M. (2010). Parties. In F. Castles, S. Leibfried, J. Lewis, H. Obinger & C. Pierson (Eds.), *The Oxford Handbook of the Welfare State* (pp. 211-226). Oxford University Press.

van Ginneken, W. (2003). Extending social security: Policies for developing countries, *International Labour Review*, 142 (3), 277-294.

World Bank (2013). *Risk and opportunity: World Development Report 2014*, The World Bank, Washington D.C.

Module 1.2

Asaki, B., & Hayes, S. (2011). Leaders, not clients: grassroots women's groups transforming social protection, *Gender & Development*, 19(2), 241-253.

Barrientos, A. & Hulme, D. (2009). Social Protection for the Poor and Poorest: Reflections on a Quiet Revolution, *Oxford Development Studies*, 37(4), 439-456.

Brass, J. (2014). NGOs, the State, and Service Provision in Kenya. In M. Cammett & L. M. MacLean (Eds.), *The Politics of Non-state Social Welfare* (pp. 31-56). Cornell: Cornell University Press.

Deacon, B (2007). *Global Social Policy and Governance*. London: Sage Publications. pp. 24-108.

Devereux, S & Getu, M. (2013). *Informal and Formal Social Protection Systems in Sub-Saharan Africa*. Fountain Publishers.

Ebbinghaus, B. (2010). Unions and Employers. In F. Castles, S. Leibfried, J. Lewis, H. Obinger & C. Pierson (Eds.), *The Oxford Handbook of the Welfare State* (pp. 196-210). Oxford University Press.

Morgan, K. & Campbell, A. (2011). *The Delegated Welfare State. Medicare, Markets, and the Governance of Social Policy*. Oxford University Press: ch. 2.

Module 1.3

Bender, K., Kaltenborn, M., & Pfleiderer, C. (2012). *Social Protection in Developing Countries. Reforming Systems*, Abingdon: Routledge.

Deacon, B. (2013). *Making Global Social Policy: The Foundations of the Social Protection Floor*, Bristol: Policy Press.

Gough, I. (2013). Social policy regimes in the developing world. In P. Kennett (Ed.), *A Handbook of comparative social policy* (pp. 205-224). Elgar original reference. Cheltenham: Edward Elgar Publishing Ltd.

Kwon, H. (2005). An overview of the study: the developmental welfare state and policy reforms in East Asia. In Huck-ju Kwon (Ed.), *Transforming the developmental welfare state in East Asia* (pp. 27-49). Geneva: UNRISD and Basingstoke: Palgrave.

Kwon, H., Cook, S. & Kim, Y. (2015). Shaping the national social protection strategy in Cambodia: Global influence and national ownership, *Global Social Policy*, Vol. 15(2), 125-145.

Roussel, L. (2013). The changing donor landscape in Nicaragua: rising competition enhances ownership and fosters cooperation, *Journal of International Development*, Vol. 25, 802-818.

Schmidt-Catran, A. W., & Spies, D. C. (2016). Immigration and Welfare Support in Germany. *American Sociological Review*, 81(2), 242–261. doi:10.1177/0003122416633140

Swank, D. (2010). Globalization. In F. Castles, S. Leibfried, J. Lewis, H. Obinger & C. Pierson (Eds.), *The Oxford Handbook of the Welfare State* (pp. 318-330). Oxford University Press.

The World Bank and ILO (2015). *A Shared Mission for Universal Social Protection*. Available

	from: http://www.ilo.org/global/topics/social-security/WCMS_378991/lang--en/index.htm
11	<p>Special features</p> <p>A study tour is planned to visit different social protection actors in the region</p> <p>Guest lectures are scheduled for module 1.1 and 1.3</p>

Name of module: Social protection policy instruments and design options						
No. / code of module 2		Workload 200 h	Credits 8 ECTS	Semester 1st Sem.	Frequency of module offer Winter semester	Duration 4 weeks
1	Courses of the module 2.1 Contributory schemes 2.2 Tax financed transfers and services 2.3 Promotional measures		Contact hours 80 h	Independent study 140 h	Group size 25-30	
2	<p>Learning outcomes and competencies</p> <p>Module 2 familiarizes you in detail with the main social protection policy instruments comprising contributory schemes, tax-financed transfers and services as well as promotional measures to give recipients the opportunity to become productive again. You study the concept, impact, challenges of different instruments and learn how the choice and prioritization of instruments is dependent on the inherent logic of the respective social protection system. For each and every instrument, you analyze the various design options and determine which options are most suitable in a given context.</p> <p>In greater detail, you will...</p> <ul style="list-style-type: none">• acquire a solid understanding of the various policy instruments that make up an effective social protection system• discuss for each and every instrument the underlying concepts, their relevance in different country contexts, the potential effects and challenges• get a good overview of the evidence base and outstanding questions regarding different social protection instruments• analyse the design options that policymakers have for each instrument and learn various methods of how to make informed decisions• be capable of writing a policy paper, providing policy recommendations on social protection policy choices					
3	<p>Contents of the module</p> <p>2.1 Contributory schemes</p> <p>Social insurance</p> <ul style="list-style-type: none">• Basic principles of social insurance• Rationale for social insurance• Detailed discussion of design option for all branches of social insurance<ul style="list-style-type: none">○ Old age○ Sickness and injury (in kind benefits)○ Sickness and Invalidity (cash benefits)○ Unemployment○ Work accidents and occupational diseases• Conditions of functioning of social insurance – identity system, administrative and financial infrastructure, contribution collection, communication and information, possibilities of fraud prevention.• Mathematics of social insurance• International perspectives of social insurance• Insurance for the informal sector					

	<ul style="list-style-type: none"> • Social insurance in developing countries – options and practice <p>Private insurance</p> <ul style="list-style-type: none"> • Basic principles of private insurance • Conditions of functioning of private insurance • Types of risks and corresponding private insurance <ul style="list-style-type: none"> ○ Old age - Capital life insurance including pensions ○ Invalidity and death – incapacity and survivor insurance ○ Sickness - Health insurance ○ Injury - Accident insurance • Mathematics of private insurance • Insurance and reinsurance • Public regulation of private insurance plans • Private insurance plans and taxation • Is private insurance an option for the poor? • Private insurance and micro insurance <p>2.2 Tax-financed transfers and services</p> <p>Social transfers</p> <ul style="list-style-type: none"> • Concept & typology of transfers • Ethical, economic and political foundations for social transfers • Introduction to poverty concepts and measures • Impact and coverage of social transfers around the world • Challenges & limitations • Analysis of optimal transfer programmes • Analysis of design choices <ul style="list-style-type: none"> ○ Targeting ○ Transfer modality ○ Transfer amount ○ Conditionality ○ Duration and exit <p>Social services</p> <ul style="list-style-type: none"> • Social care services in the international development context • Conceptualisation and organisation of social care • Social Work Research and Evidence Based Practice • Service planning, development and monitoring • Social care workforce • Social services for vulnerable groups: older people, children, young people, people with mental health problems and disability, families and communities • Participation and service user engagement <p>2.3 Promotional measures</p> <ul style="list-style-type: none"> • Decent conditions of work • Occupational safety and health • Minimum wage & other labor market regulation • Return to work: activation policies, employment and other rehabilitation services • Public works and their effects • Micro-credit, food security pack & other promotional measures
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, discussions</p>

5	Pre-requisites None
6	Methods of examination <ul style="list-style-type: none"> • Presentation (50%) • Policy paper (50%) Final grade will be calculated on the basis of the grades allocated to both exams
7	Pre-requisites for obtaining the credits Passing the presentation and policy paper
8	Emphasis of the grade for the final grade 8,89% (8/90)
9	Person responsible for module Axel Weber Teaching staff 2.1 Axel Weber 2.2 Esther Schüring / Chris Rayment 2.3 Andrea Salvini
10	Required literature Module 2.1 Cichon, M. (Ed.), (1999). A primer on the mathematics of private health insurance. In M. Cichon, W. Newbrander, H. Yamabana, A. Weber, C. Normand, D. Dror & A. Preker (Eds.) <i>Modelling in Health Care Finance: A Compendium of Quantitative Techniques</i> (Issue Brief 3, pp. 266-274), Geneva: International Labour Office. Weber, A. (2010). Financing Social Health Insurance: Challenges and Opportunities. In S. W. Handayani (Ed.), <i>Enhancing Social Protection in Asia and the Pacific: The Proceedings of the Regional Workshop</i> (pp. 249-266), Mandaluyong City: Asian Development Bank. Weber, A. (2002). Insurance and Market Failure at the Microinsurance level. In D. M. Dror & A. S. Preker (Eds.), <i>Social Reinsurance: A New Approach to Sustainable Community Health Financing</i> (pp. 203-222), The International Bank for Reconstruction and Development / The World Bank and the International Labour Organisation. Module 2.2 Social transfers Barrientos, A. (2013). <i>Social assistance in developing countries</i> . Cambridge: Cambridge University Press, ch. 2-5. Bahle, T., Hubl, V. & Pfeifer, M. (2011). <i>The last safety net. A handbook of minimum income protection in Europe</i> . Bristol: The Policy Press, pp. 155-229. Social services Barrientos, A., Byrne, J., Villa, J.M. & Peña, P. (2013). <i>Social Transfers and Child Protection</i> , (Working Paper 2013-05). UNICEF Office of Research, Florence. Engle, P. (2011). Early Childhood Development: why we should invest in the health and development of young children. In UNICEF (ed.) <i>Early Childhood Development. What Parliamentarians Need to Know and Do</i> , 7-28. International Federation of Social Work (2012). <i>The global agenda for social work and social</i>

development commitment to action.

Jones, D. N., & Truell, R. (2012). The Global Agenda for Social Work and Social Development: A place to link together and be effective in a globalized world. *International Social Work*, 55(4), 454-472.

Laird, S. E. (2008). Social work practice to support survival strategies in sub-Saharan Africa. *British Journal of Social Work*, 38(1), 135-151.

Module 2.3

Blau, F, Kahn, L, (1999). Institutions and laws in the labor market, *Handbook of Labor Economics*, 3, part A, 1399–1461.

International Labour Office (2005). *Rules of the Game: A brief Introduction to International Labour Standards*.

Salvini, A. (2012), Recognition of informal employment in the Viet Nam Employment Strategy 2011-2020. In *The informal economy in developing countries*, N°6 in the collection "Conférences et Séminaires" published by AFD. ISSN: 2118-3872 (French and Vietnamese language).

Sengenberger W. (2005). *Globalization and Social Progress: the Role and Impact of International Labour Standards*. Bonn: Friedrich-Ebert-Stiftung.

World Bank. (2012). *World Development Report 2013: Jobs*. Washington, DC: World Bank. DOI: 10.1596/978-0-8213-9575-2, ch. 8.

Recommended literature

Module 2.2

Social transfers

Baird, S., Ferreira, F., Özler, B. & Woolcock M. (2013). Relative effectiveness of conditional and unconditional cash transfer for schooling outcomes in developing countries: a systematic review.

Bergmark, Å. & Bäckman, O. (2004). Stuck with welfare? Long-term social assistance reciprocity in Sweden. *European Sociological Review*, 20(5), 425-443.

De Neubourg, C., Castonguay, J. & Roelen, K. (2007). *Social safety nets and targeted social assistance: Lessons from the European experience* (Social Protection Discussion Paper 0718). World Bank,

Filmer, D. & Schady, N. (2011). Does more cash in conditional cash transfer programs always lead to larger impacts on school attendance? *Journal of Development Economics*, 96(1), 150-157.

Grosh, M. E. (2008). *For protection and promotion: The design and implementation of effective safety nets*. World Bank Publications: ch. 4, 5 & 7.

Monchuk, V. (2013). *Reducing Poverty and Investing in People: The New Role of Safety Nets in Africa*. World Bank Publications: ch. 4.

Schüring, E. (2010). *Conditions, Conditionality, Conditionalities, Responsibilities: Finding Common Ground* (Working Paper 2010/014). MGSOG, University of Maastricht.

Social services

Bilson A., Cant R., Harries M., & Thrope D.H. (2013). A Longitudinal Study of Children Reported to the Child Protection Department in Western Australia. *British Journal of Social Work*. doi: 10.1093/bjsw/bct164.

Bilson A. & Cox P. (2007). Caring about Poverty: Alternatives to institutional care for children in poverty. *Childhood Poverty*, 13(1), 37-55.

Bilson A. & Larkins C (2013). *Providing Alternatives to Infant Institutionalisation in Bulgaria: Can*

Gatekeeping Benefit from a Social Development Orientation? *Children and Youth Services Review*, 35 (9), 1566-1575.

Bilson A. & Westwood J.L. (2012). *Making Social Work Work: Improving social work for vulnerable families and children without parental care around the world: A literature review*. London, EveryChild.

Cantwell, N., Davidson, J., Elsley, S., Milligan, I. & Quinn, N. (2012). *Moving Forward: Implementing the 'Guidelines for the Alternative Care of Children'*. UK: Centre for Excellence for Looked After Children in Scotland.

Gilbert, N. (2012). A comparative study of child welfare systems: Abstract orientations and concrete results. *Children and Youth Services Review*, 34(3), 532-536.

Global Social Service Workforce Alliance (2015). *The State of the Social Service Workforce 2015 Report*. Washington, DC.: Global Social Service Workforce Alliance.

Goldson, B., & Muncie, J. (2012). Towards a global 'child friendly' juvenile justice? *International Journal of Law, Crime and Justice*, 40(1), 47-64.

HelpAge International (2015). *International Community-based social care in East and Southeast Asia Chiang Mai*.

Jones, D. N., & Truell, R. (2012). The Global Agenda for Social Work and Social Development: A place to link together and be effective in a globalized world. *International Social Work*, 55(4), 454-472.

Mathebula, S. D., & Ross, E. (2013). Realizing or relinquishing rights? Homeless youth, their life on the streets and their knowledge and experience of health and social services in Hillbrow, South Africa. *Social work in health care*, 52(5), 449-466.

Mokomane, Z. (2013). Social protection as a mechanism for family protection in sub-Saharan Africa, *International Journal of Social Welfare*, 22, 248–259.

Quinn, N., Davidson, J., Milligan, I., Elsley, S., & Cantwell, N. (2014). Moving Forward: Towards a rights-based paradigm for young people transitioning out of care. *International Social Work*. Doi: 10.1177/0020872814547439.

Rogers J. (2014). *Deinstitutionalisation of Children in Bulgaria: How far and where to?* UNICEF Bulgaria.

Tolfree, D. (1995). *Roofs and Roots: The Care of Separated Children in the Developing World*.

Tossebro, J. & Kittelsaa, A. (Eds.) (2004). *Exploring the Living Conditions of Disabled People*. Lund: Studentlitteratur.

UNICEF (2015). *Situation analysis of the boys and girls with disabilities in Turkmenistan*. UNICEF Turkmenistan.

United Nations (2002). *Madrid International Plan of Action on Ageing*.

United Nations (2006). *Convention on the Rights of Persons with Disabilities*.

United Nations (2009). *Guidelines for the Alternative Care of Children*.

Module 2.3

Card, D. & Krueger, A. (1994). Minimum wages and employment: A case study of the fast-food industry in New Jersey and Pennsylvania. *American Economic Review* 84, 772–793.

Lalive, R., van Ours, J. & Zweimüller, J. (2006). How changes in financial incentives affect the duration of unemployment. *Review of Economic Studies* 73, 1009–1038.

Skedinger, P. (2010). *Employment Protection Legislation: Evolution, Effects, Winners and Losers*. Cheltenham: Edward Elgar.

	Tatsiramos, K., van Ours, J. (2012). Labor market effects of unemployment insurance design. Journal of Economic Surveys, published online: 6 Dec., DOI: 10.1111/joes.12005.
11	<p>Special features</p> <p>Guest lectures are scheduled for all submodules</p>

Name of module: Analysis for social protection system design						
No. / code of module 3		Workload 150 h	Credits 6 ECTS	Semester 1st Sem.	Frequency of module offer Winter semester	Duration 3 weeks
1	Courses of the module 3.1 Assessing social protection needs and priorities 3.2 Assessing the political economy of social protection 3.3 Assessing capacity to implement social protection interventions 3.4 Assessing inter-linkages and graduation possibilities		Contact hours 60 h	Independent study 105 h	Group size 25-30	
2	<p>Learning outcomes and competencies</p> <p>Module 3 teaches you the necessary analytical skills to effectively combine different social protection instruments and tailor them to the country's context. In order to gauge the effects of particular policy choices, you learn how to analyse the needs and risk profile of the population, the political economy of social protection in a particular country context as well as the institutional, organizational and individual capacity to implement social protection interventions. As system building also requires a good understanding about how interventions should be combined and sequenced, you analyse inter-linkages across interventions as well as the pathways of graduation out of an intervention as well as out of a system.</p> <p>In greater details you will...</p> <ul style="list-style-type: none">• be capable of assessing the needs of a particular country, scrutinizing determinants of poverty, vulnerability and inequality as well as particular risks the country has to manage• develop a critical understanding of the political factors that shape the adoption and implementation of social protection policies and programmes and the analytical frameworks that are available to understand this• be able to assess the capacity of a country to manage social protection systems and make design choices accordingly.• learn how to ensure effective linkages, incentive incompatibility and graduation possibilities					
3	<p>Contents of the module</p> <p>3.1 Assessing social protection needs and priorities</p> <ul style="list-style-type: none">• Demographics & epidemiology• Overview of country context assessment: demographic characteristics, life cycle needs, key risks, current social protection system, etc.• Poverty and inequality analysis: rationale, different approaches, income poverty and determinants of poverty, multi-dimensional poverty, dynamics of poverty• Risk and vulnerability assessments: different nature of shocks and how they differently affect people					

	<p>3.2 Assessing the political economy of social protection</p> <ul style="list-style-type: none"> • Conceptual framework behind political economy analysis • Political economy models • Main tools to carry out political economy analysis <p>3.3 Assessing capacity to implement social protection interventions</p> <ul style="list-style-type: none"> • Concepts of capacity • Methods for assessing: <ul style="list-style-type: none"> ○ Institutional capacity ○ Organizational capacity ○ Individual capacity <p>3.4 Assessing inter-linkages and graduation possibilities</p> <ul style="list-style-type: none"> • Assessing incentive compatibility across different instruments • Assessing effective linkages across different instruments and graduation possibilities • Concept, measures and factors of success for graduation
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, discussions, case studies</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Case study</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the case study</p>
8	<p>Emphasis of the grade for the final grade</p> <p>6,67% (6/90)</p>
9	<p>Person responsible for module</p> <p>Esther Schüring</p> <p>Teaching staff</p> <p>3.1 Franziska Gassmann</p> <p>3.2 Sam Hickey</p> <p>3.3 Andrew Wyatt</p> <p>3.4 Wolfgang Scholz</p>
10	<p>Required literature</p> <p>Module 3.1</p> <p>Alkire, S. & Foster, J. (2009), Counting and Multidimensional Poverty Measurement (OPHI Working Paper No. 32) Oxford Poverty and Human Development Initiative, Oxford.</p> <p>Ruggeri Laderchi, R., Saith, R. & Stewart, F. (2003), Does it Matter that we do not Agree on the Definition of Poverty? A Comparison of Four Approaches, Oxford Development Studies, 31(3), pp. 243-274.</p> <p>Module 3.2</p> <p>Gelbach, J. B. & Pritchett, L. (1997). More for the Poor Is Less for the Poor: The Politics of Targeting (Policy Research working paper No. WPS 1799), Washington DC: World Bank.</p>

Graham, C. (2002). Public Attitudes Matter: A Conceptual Frame for Accounting for Political Economy in Safety Nets and Social Assistance Policies (Social Protection Discussion Paper Series No. SP 0233). Washington DC: World Bank.

Haughton, J, & Khandker, S.R. (2009), Handbook on Poverty and Inequality, The World Bank, Washington DC: ch. 1 – 6.

Hickey, S. (2009). The politics of Protecting the Poorest: Beyond the Anti-Politics Machine. Political Geography, 28, 473-483. Longer version published as: Hickey, S. et al. (2006) The Politics of What Works in Reducing Chronic Poverty: A synthesis. Background Paper for the Chronic Poverty Report 2008. See CPRC website for a Policy Brief as well.

Pritchett, L. (2005). A lecture on the political economy of targeted safety nets (World Bank Social Protection Discussion Paper No. 0501). Washington DC: World Bank.

Module 3.3

DFID (2003). Promoting Institutional and Organisational Development (March).

OPM (2011). Escaping the capacity treadmill: Time for a more sustainable approach to capacity development (October).

UNDG (2008). Capacity Assessment Methodology: User Guide for national capacity development (February).

Module 3.4

Devereux, S. & Sabates-Wheeler, R. (Eds) (2015). Graduating from Social Protection. IDS Bulletin (46):2.

Heidenreich, M. & Rice, D. (2015). Integrating social and employment policies at the local level: conceptual and empirical challenges. In Heidenreich, M. & Rice, D. (Eds.) Integrating Social and Employment Policies in Europe (pp. 16-52). Active Inclusion and Challenges for Local Welfare Governance. Edward Elgar Publishing Limited,...

Robalino, D., Rawlings, L. & Walker, I. (2012). Building Social Protection and Labor Systems. Concepts and Operational Implications. Washington: The World Bank.

Recommended literature

Module 3.1

Deaton, A. (1997). Welfare, Poverty and Distribution. In The Analysis of Household Surveys: A Microeconomic Approach to Development Policy (pp.133-166). London: The John Hopkins University Press.

Foster, J. et al. (2012). A unified approach to measuring poverty and inequality: theory and practice. The World Bank.

Sen, Amartya K. (1992). Inequality Re-examined. Oxford: Clarendon Press (Introduction).

Module 3.2

Barrientos, A., Hulme, D. Hickey, S. & Nino-Zarazua, M. (2012). Social Protection in sub-Saharan Africa: Getting the Politics Right. World Development, 40(1): 163-176.

de Janvry, A., Nakagawa, H. & Sadoulet, E. (2009). Pro-poor targeting and electoral rewards in decentralizing to communities the provision of public goods in rural Zambia, Mimeo. Berkeley: University of California at Berkeley.

de la O, A. L. (2006). Do Poverty Relief Funds Affect Electoral Behaviour? Evidence from a Randomized Experiment in Mexico, Mimeo. Cambridge MA: MIT.

Graham, C. (1995). Safety Nets, Politics, and the Poor: Transitions to Market Economies. Brookings Institute.

	<p>Hickey S. (2014). Relocating social protection within a radical project of social justice. <i>European Journal of Development Research</i>, 26, 322-337. doi: 10.1057/ejdr.2014.9</p> <p>Hickey, S. (2012). Turning Governance Thinking Upside-down? Insights from 'the politics of what works'. <i>Third World Quarterly</i>, 33(7), 1231-1247.</p> <p>Hickey, S (2011). The politics of social protection: what do we get from a 'social contract' approach? <i>Canadian Journal of Development Studies</i>, 32(4), 425-438.</p> <p>Hickey, S. (2008). Conceptualising the politics of social protection in Africa. In Barrientos, A. and Hulme, D. (Eds.) <i>Social Protection for the Poor and Poorest: Concepts, Policies and Politics</i> (pp. 247-263). London: Palgrave.</p> <p>Pellisery, S. (2008). Process deficits in the provision of social protection in rural Maharashtra. In Barrientos, A. & Hulme, D. (Eds.) <i>Social Protection for the Poor and Poorest: Concepts, Policies and Politics</i> (pp. 227-246). London: Palgrave.</p> <p>Zucco, C. (2008). The President's 'New' Constituency: Lula and the Pragmatic Vote in Brazil's 2006 Presidential Elections. <i>Journal of Latin American Studies</i>, 40, 29-49.</p> <p>Module 3.3</p> <p>Hollertz, K. (2015). Integrated and individualized services: paradoxes in the implementation of labour market policies in Sweden. In Heidenreich, M. & Rice, D. (Eds.) <i>Integrating Social and Employment Policies in Europe. Active Inclusion and Challenges for Local Welfare Governance</i> (pp. 51-71), Edward Elgar Publishing Limited,.</p> <p>Mandes, S. (2015). Activation policy in a fragmented welfare system: the case of Poland. In Heidenreich, M. & Rice, D. (Eds.) <i>Integrating Social and Employment Policies in Europe. Active Inclusion and Challenges for Local Welfare Governance</i> (pp. 51-71). Edward Elgar Publishing Limited,.</p>
11	<p>Special features</p> <p>Guest lectures are scheduled for module 3.4</p>

Name of module: Social protection financing & modelling						
No. / code of module		Workload	Credits	Semester	Frequency of module offer	Duration
3		150 h	6 ECTS	1st Sem.	Winter semester	3 weeks
1	Courses of the module 4.1 Social protection expenditure and resource mobilization 4.2 Financing techniques 4.3 Modelling social expenditure & revenues		Contact hours 60 h	Independent study 105 h	Group size 25-30	
2	Learning outcomes and competencies In Module 4, you acquire knowledge of the social protection expenditure trends in different country settings. You study how greater fiscal space can be generated for social protection and grasp the role of political processes in resource mobilisation strategies. You get insights into the different financing techniques that are available for various instruments such as health care benefits, pensions and other benefits. International sources of financing are equally analysed. In order to project expenditure and revenues into the future, you learn the basics of modelling. In greater detail, you will... <ul style="list-style-type: none">• acquire knowledge of the expenditure trends in different country settings as well as the determinants of social expenditure• grasp the concepts of affordability, policy space and fiscal space and know how to apply them in the area of social protection• study issue of opportunity costs and different resource mobilization strategies and are introduced to the underlying political processes• be familiar with the different social protection financing methods that are available for various types of programmes• learn as to how modelling can be used to project future social protection expenditure and revenues• acquire advanced knowledge on quantitative planning tools needed for the effective monitoring, planning and development of social protection systems					
3	Contents of the module 4.1 Social expenditure and resource mobilization <ul style="list-style-type: none">• Expenditure trends and patterns• Determinants of national social expenditure• Social protection in national and government accounts• Policy space, fiscal space & affordability• Opportunity costs, fiscal policies and resource mobilization strategies 4.2 Financing techniques <ul style="list-style-type: none">• Financing social protection systems: definition, parameters, redistributive impacts and main challenges• Financing health care benefits• Financing social security pensions• Financing other benefits• Role of external financing					

	<p>4.3 Modelling social expenditure & revenues</p> <ul style="list-style-type: none"> • Actuarial modelling and social budgeting in the field of social protection • Data collection guidelines, assumptions setting practices, actuarial principles, modelling methodologies and social protection financing strategies • Using a modelling approach • Relevant statistical and information bases
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, discussions</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Written exam</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the written exam</p>
8	<p>Emphasis of the grade for the final grade</p> <p>6,67% (6/90)</p>
9	<p>Person responsible for module</p> <p>Krzysztof Hagemeyer</p> <p>Teaching staff</p> <p>4.1 Krzysztof Hagemeyer</p> <p>4.2 Krzysztof Hagemeyer</p> <p>4.3 Charles Crevier</p>
10	<p>Required literature</p> <p>Module 4.1, 4.2 & 4.3</p> <p>Cichon, M., Scholz, W., van de Meerendonk, A., Hagemeyer, K., Bertranou, F. & Plamondon, P. (2004). Financing Social protection. Geneva: ILO/ISSA.</p> <p>European Communities (2007). Pension Schemes and Projection Models in EU-25 Member States (European Economy Occasional Papers No.35). The Economic Policy Committee and Directorate-General for Economic and Financial affairs.</p> <p>ILO (2010). World Social Security report 2010/2011 providing coverage in the time of crisis and beyond. Geneva.</p> <p>ILO (2014). World Social Protection report 2014/2015: Building Economic Recovery, Inclusive Development and Social Justice, Geneva.</p> <p>IMF (2001). Government finance statistics manual. Washington: IMF: ch. 2 (with Annex on social protection)</p> <p>IMF (2014). Governance finance statistics manual. Washington: IMF.</p> <p>Plamondon, P., Drouin, A., Binet, G., Cichon, M., McGillivray, W., Bédard, M., Perez M. & Hernando (2002). Actuarial Practice in Social Security. Geneva: ILO/ISSA, Part IV, ch. 7.4, 8, 10, 11.</p> <p>Statistical Office of the European Communities EUROSTAT (2012). ESSPROSS Manual and</p>

	<p>user guidelines. Luxembourg: Publications Office of the European Union.</p> <p>Recommended literature</p> <p>Module 4.1, 4.2 & 4.3</p> <p>Aguzzoni, L. (2008). The concept of fiscal space and its applicability to the development of social protection policy in Zambia (ESS Paper No. 28). Global Campaign on Social Security and Coverage for All, ILO.</p> <p>Cichon, M., Newbrander, W., Yamabana, H., Normand C. & Dror, D. (1999). Modelling in Health Care Finance. Geneva: ILO/ISSA.</p> <p>Hagen-Zanker, J. and Tavakoli, H. (2012). Analysis of fiscal space of social protection in Nigeria. London: ODI.</p> <p>Heller, Peter S., Understanding Fiscal Space (IMF Policy Discussion paper No. PDP/05/4). Fiscal Affairs Department.</p> <p>Lequiller, F. and Blades, D. (2006). Understanding National Accounts. OECD, Paris.</p> <p>Scholz, W., Cichon, M. & Hagemeyer, K. (2000). Social Budgeting, Geneva: ILO/ISSA.</p> <p>World Bank (2009). System of National Accounts 2008. New York: European Communities, International Monetary Fund, Organisation for Economic Co-operation and Development, United Nations and World Bank: ch. 2, 4, 7, 8, 13, 17 (part 2), 22, Annex 2.</p>
11	<p>Special features</p> <p>Guest lectures are scheduled for module 4.1</p>

Name of module: Research methods					
No. / code of module 5	Workload 125 h	Credits 5 ECTS	Semester 1 st & 2 nd semester	Frequency of module offer Winter & summer semester	Duration 2 semesters
1	Courses of the module 5.1 Research methods (1) 5.2 Research methods (2)	Contact hours 50	Independent study 87,5 h	Group size 25-30	
2	<p>Learning outcomes and competencies</p> <p>In Module 5 you focus on sharpening your research skills to eventually prepare them for research papers throughout the master's programme, your master's thesis as well as potentially for a PhD later on. By systematically learning how to formulate a research question, how to come up with a suitable research design, how to collect and analyse data and how to write it up, you are accompanied in writing a successful master's thesis.</p> <p>In greater detail, you will...</p> <ul style="list-style-type: none"> • know the different steps in the research process as well as the logic of how to interconnect them • be in a position to formulate a research question and design the appropriate methodology • obtain a detailed overview and learn how to use different quantitative and qualitative research methods • get to know the criteria as well as the related pre-requisites for using different data collection and analysis methods and being capable to critically assess the methodology of other studies • learn how to relate a research question to the results of an empirical study and to assess the methodological and technical fit • be well prepared to follow the module on social protection evaluations • know how to conduct your own research and write up your research findings 				
3	<p>Contents of the module</p> <p>Research methods</p> <ul style="list-style-type: none"> • Formulation of research questions • Research design • Overview of quantitative research methods • Overview of qualitative research methods • Sampling • Data collection • Data analysis (software) • Write-up and publishing 				
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, online discussions</p>				
5	<p>Pre-requisites</p> <p>None</p>				

6	Methods of examination Research proposal
7	Pre-requisites for obtaining the credits Passing the research proposal
8	Emphasis of the grade for the final grade 5,56% (5/90)
9	Person responsible for module Esther Schüring Teaching staff Esther Schüring / Barbara Rohregger
10	Required literature Kumar, R. (2011). Research methodology. A step-by-step guide for beginners (3rd edition). Los Angeles: SAGE Nardi, P. M. (2013). Doing survey research. A guide to quantitative methods. (3rd Edition). Boston: Pearson/Allyn & Bacon. Neuman, L. W. (2010). Social Research Methods: Qualitative and Quantitative Approaches. , 7th Edition, Pearson. Recommended literature Field, A. P. and Hole, G. (2003). How to design and report experiments. London, Thousand Oaks, Calif: Sage Publications. Rudestam, K. E. & Newton, R. R.(2007). Surviving your dissertation. A comprehensive guide to content and process. (3 rd edition). London: Sage Publications.
11	Special features Module 5.2 will be taught online during the summer semester.

Name of module: Simulation and evaluation						
No. / code of module 6		Workload 200 h	Credits 8 ECTS	Semester 1 st & 2 nd semester	Frequency of module offer Winter & summer semester	Duration 4 weeks
1	Courses of the module 6.1 Applied econometrics & introduction to a statistical software 6.2 Micro-simulation 6.3 Evaluation of individual interventions 6.4 Evaluation of social protection systems		Contact hours 80 h	Independent study 140 h		Group size 25-30
2	<p>Learning outcomes and competencies</p> <p>In Module 6 you are introduced to ex-ante as well as ex-post evaluation methods in order to assess whether the design chosen is socially, politically and economically appropriate, whether interventions are cost-effective and whether fiduciary risks are minimised. As a good command of quantitative skills is required for simulations as well as evaluations of social protection interventions, you first follow a sub-module on applied econometrics and the use of a statistical software package. Subsequently, you learn the basics of economic simulation models. You then explore different methods that can be used to evaluate the impact, cost-effectiveness and fiduciary risks of social protection programmes. You learn to judge when and how to best evaluate a social protection programme and when and how to evaluate an entire social protection system.</p> <p>In greater detail, you will...</p> <ul style="list-style-type: none">• acquire stronger quantitative techniques and know which techniques are suitable for which evaluation design• get to use a statistical software package and are prepared to use this software for different evaluation methods• understand how to simulate the economic effects of different policy choices• be familiar with different evaluation techniques to assess the impact, the cost-benefit, cost-effectiveness and efficiency of social protection programmes as well as the efficiency, acceptability, transparency and fiduciary risks of the underlying management processes• be in a position to judge when and how to best evaluate a social protection programme• formulate indicators and different evaluation strategies to evaluate the impact of social protection systems• critically reflect on past evaluations of social protection systems and make suggestions for improvements					
3	<p>Contents of the module</p> <p>6.1 Applied econometrics & introduction to a statistical software</p> <ul style="list-style-type: none">• Linear regressions• Nonlinear regressions• Addressing biases• Panel Data					

	<ul style="list-style-type: none"> • Instrumental variables • Experiments & quasi-experiments <p>6.2 Micro-simulation</p> <ul style="list-style-type: none"> • Data requirements and data quality • Missing data and updating • Microsimulation models <p>6.3 Evaluation of individual interventions</p> <ul style="list-style-type: none"> • Impact Evaluation Design: theory of change and selection of indicators • Process / service delivery evaluations: examples of targeting, payments & administrative processes • Quantitative impact evaluation techniques: Experimental v non-experimental • Non-experimental: Diff-in-Diff, Matching techniques, Regression Discontinuity Design • Externality Effects, Local Economy Impact and general equilibrium effects, Cost-benefit analysis/Cost-effectiveness analysis • Qualitative Impact evaluation: FGD, KII, Ethnographic research, life history / triangulating results <p>6.4 Evaluation of social protection systems</p> <ul style="list-style-type: none"> • Evaluation against national policy objectives <ul style="list-style-type: none"> ◦ Setting policy objectives, targets, performance criteria & indicators ◦ Identifying coverage gaps and inefficiencies ◦ Introduction to public expenditure and performance reviews approach • International benchmarks for social protection evaluation (introducing examples of internationally agreed guidelines and benchmark indicators (ADB, EU, ILO, OECD, World Bank etc.))
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, (online) discussions</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <ul style="list-style-type: none"> • Data analysis assignment (50%) • Concept paper for an evaluation design (50%) <p>Final grade will be calculated on the basis of the grades allocated to both exams</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the assignment and the evaluation design</p>
8	<p>Emphasis of the grade for the final grade</p> <p>8,87% (8/90)</p>
9	<p>Person responsible for module</p> <p>Krzysztof Hagemeyer</p> <p>Teaching staff</p> <p>6.1 Stefan Freitag</p> <p>6.2 Judith Flory</p> <p>6.3 Fabio Veras</p>

	6.4 Krzysztof Hagemejer
10	<p>Required literature</p> <p>Module 6.1</p> <p>Field, A. P. (2013). <i>Discovering statistics using IBM SPSS statistics</i>. 4th ed.. Los Angeles, CA: SAGE.</p> <p>Hair, J. F. et al. (2010). <i>Multivariate data analysis</i>. 7th ed. Upper Saddle River, NJ: Prentice Hall.</p> <p>Witte, R. S., Witte, J. S. (2010). <i>Statistics</i>. Ninth edition. Hoboken, NJ: J. Wiley & Sons.</p> <p>Module 6.2</p> <p>Eason, R. J. (1996). <i>Microsimulation of Direct Taxes and Fiscal Policy in the United Kingdom</i>. In A. Harding (Ed.), <i>Microsimulation and Public Policy</i> (pp. 23-45). Elsevier Science B.V., The Netherlands.</p> <p>Gordon, H. L., Richard C. M. (1990). <i>Introduction and Overview: Issues and Problems in Microsimulation Modeling</i>. In Gordon H. Lewis, Richard C. Michel (Eds.), <i>Microsimulation Techniques for Tax and Transfer Analysis</i>, Urban Institute Press, 1990: ch.1.</p> <p>Gupta, A. and Kapur, V. (2000). <i>Introduction and Overview</i>. In Anil Gupta and Vishnu Kapur (Eds.), <i>Microsimulation in Government Policy and Forecasting</i> (pp. 1-26). Elsevier Science B.V., The Netherlands.</p> <p>Harding, A. (1996). <i>Introduction and Overview</i>. In Ann Harding (Ed.), <i>Microsimulation and Public Policy</i>, (pp. 1-6). Elsevier Science B.V., The Netherlands.</p> <p>Wilkins, J. G. (2000). <i>The Tax Policy Analyst's Best Friend</i>. In Anil Gupta and Vishnu Kapur (Eds.), <i>Microsimulation in Government Policy and Forecasting</i> (pp. 29–38). Elsevier Science B.V., The Netherlands.</p> <p>Module 6.3</p> <p>Asfaw, S., Daidone, S., Davis, B., Dewbre, J. & Romeo, A. (2012) <i>Analytical framework for evaluating the productive impact of cash transfer programmes on household behaviour</i>. FAO. Available at: Analytical Framework, FAO</p> <p>Baker, Judy L. (2000). <i>Evaluation the impact of development project on poverty: Handbook for practitioners</i>.</p> <p>Fossey, E., Harvey, C., McDermott, F. & Davidson, L. (2002) <i>Understanding and evaluating qualitative research</i>, Australian and New Zealand Journal of Psychiatry, Vol 36, 717-732.</p> <p>Lietz, C. A. & Zayas L. E. (2010). <i>Evaluating Qualitative Research for Social Work Practitioners</i>, Advances in Social Work, Vol. 11 (2).</p> <p>Oxford Policy Management (2013) <i>Qualitative research of the economic impacts of cash transfer programmes in sub-Saharan Africa - A research guide for the From Protection to Production project</i>. FAO.</p> <p>Spencer, L, Ritchie, J., Lewis, J. & Dillon, L. (2003). <i>Quality in Qualitative Evaluation: A framework for assessing research evidence</i>, National Centre for Social Research, London.</p> <p>Stuart G. Nicholls, S. G., Langan, S. M., Benchimol, E. I., & Moher, D. (2016). <i>Reporting transparency: making the ethical mandate explicit</i>. BMC Medicine, 14 (44). DOI 10.1186/s12916-016-0587-5.</p> <p>Module 6.4</p> <p>Cichon, M., Scholz, W., van de Meerendonk, A., Hagemejer, K., Bertranou, F. & Plamondon, P. (2004). <i>Financing Social protection</i> (pp. 436-474). Geneva: ILO/ISSA.</p> <p>ILO (2014), <i>World Social Protection report 2014-15: Building economic recovery, inclusive</i></p>

	<p>development and social justice. Annex II (pp. 165-170).</p> <p>ILO (2010). World Social Security report 2010: Providing coverage in the time of crisis and beyond ILO. Geneva: ch. 8.</p> <p>ISPA (2015): CODI Assessment Matrix.</p> <p>Recommended literature</p> <p>Module 6.1</p> <p>Diamond, I., Jefferies, J. (2001). Beginning statistics: An introduction for social scientists. London: Thousand Oaks,</p> <p>Salkind, N. J. (2014). Statistics for people who (think they) hate statistics. Fifth edition. SAGE Publications.</p> <p>Module 6.2</p> <p>Gupta, A. & Kapur, V. (Eds) (2000). Microsimulation in Government Policy and Forecasting, Elsevier Science B.V., The Netherlands.</p> <p>Harding, A. (Ed.) (1996). Microsimulation and Public Policy, Elsevier Science B.V., The Netherlands.</p> <p>Orcutt, G.H., Merz, J. & H. Quinke (Eds.) (1986). Microanalytic Simulation Models to Support Social and Financial Policy. Elsevier Science B.V., The Netherlands.</p> <p>Spielauer, M.(2009). What is Dynamic Social Science Microsimulation? Statistics Canada, Modeling Division.</p> <p>Spielauer (2009). Microsimulation Approaches. Statistics Canada, Modeling Division..</p> <p>Sutherland, H. & Figari, F. (2013). EUROMOD: the European Union tax-benefit microsimulation model. International Journal of Microsimulation, 6(1) 4-26.</p> <p>Tylor, E. (2013). A methodology for local economy-wide impact evaluation (LEWIE) of cash transfers. Rome: FAO.</p> <p>Module 6.3</p> <p>Khandker, Shahidur R., Gayatri B. Koolwal, and Hussain Samad (2009) Handbook on Quantitative Methods of Program Evaluation. Washington, DC: World Bank.</p> <p>Mohr, Lawrence B. (1995) Impact Analysis for Program Evaluation.</p> <p>Module 6.4</p> <p>ADB (2011). The Revised Social Protection Index. Methodology and Handbook: ch. 1.</p> <p>ADB (2008). Social Protection Index for Committed Poverty Reduction, Vol. 2 (Asia).</p> <p>Cichon et al (2016). Social Protection Floor Index. Monitoring National Social Protection Policy Implementation, Friedrich Ebert Stiftung: ch. 2.</p> <p>ILO (2012). Zambia Social Protection Expenditure and Performance Review and Social Budget.</p> <p>ILO (2010). World Social Security report 2010: Providing coverage in the time of crisis and beyond ILO. Geneva: ch. 1-3.</p> <p>ILO (2004). Economic Security for the Better World. Part I (pp. 3-43) and Introduction to Part II (pp. 47-54).</p> <p>Other CODI documents: "What matters guidance note", "Questionnaire", "Implementation Guide" and "Country Report".</p>
11	Special features

6.3 and 6.4 are taught online.

Name of module: Social protection governance					
No. / code of module 7		Workload 150 h	Credits 6 ECTS	Semester 2 nd Sem.	Frequency of module offer Summer semester
1	Courses of the module 7.1 Governance approaches in social protection 7.2 Accountability mechanisms 7.3 Social protection law		Contact hours 45 h	Independent study 116,25 h	Group size 25-30
2	<p>Learning outcomes and competencies</p> <p>Module 7 familiarises you with governance-related questions around social protection. You get an understanding of what good governance entails for social protection interventions and how it can be strengthened. You study the (international) legal framework that governs social protection systems and learn which legal provisions have to be made to ensure effective governance. Grasping the importance of accountability, you learn how to assess and design effective accountability mechanisms.</p> <p>In greater detail, you will...</p> <ul style="list-style-type: none">• familiarize yourself with the concept of (good) governance and new public management• study the factors influencing service delivery around social protection at institutional, organizational and individual level• study the concept and measures of accountability• explore through which mechanisms accountability can be strengthened and corruption controlled.• get to know different legal frameworks that help govern a social protection system• study regulations for various social protection interventions and reflect as to how they could apply in different contexts• discuss how statutory violations can be prosecuted				
3	<p>Contents of the module</p> <p>7.1 Governance approaches in social protection</p> <ul style="list-style-type: none">• Concepts of new public management and governance and their implications for social protection interventions• Good governance principles in social insurance agencies• The role of governance in the non-public provision of social protection• Governance in a decentralized context <p>7.2 Accountability mechanisms</p> <ul style="list-style-type: none">• Concept and measures of accountability• Design and effects of accountability mechanisms such as grievance redress, monitoring systems, (social) audits• Performance incentives• Corruption – concept, prevention & control <p>7.3 Social protection law</p> <ul style="list-style-type: none">• Legal framework governing the SP system				

	<ul style="list-style-type: none"> • Regulatory framework for particular interventions • Consequences of non-compliance
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, (online) discussions, presentations, case studies</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Wiki on social protection governance</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Completing a contribution to the wiki on social protection governance</p>
8	<p>Emphasis of the grade for the final grade</p> <p>6, 67% (6/90)</p>
9	<p>Person responsible for module</p> <p>Esther Schüring</p> <p>Teaching staff</p> <p>7.1 Barbara Rohregger</p> <p>7.2 Esther Schüring / Juanita Olaya</p> <p>7.3 Hanna Mettig</p>
10	<p>Required literature</p> <p>Module 7.1</p> <p>Batley, R. & Larbi, G., (2004). Changing Approaches to Public Sector Management. In R. Batley and G. Larbi (Eds.), The Changing Role of Government: The Reform of Public Services in Developing Countries (pp. 31-53), Palgrave, Macmillan.</p> <p>Downe, J. & Martin, S. (2006). Joined-Up Policy in Practice: The Coherence and Impacts of the Local Government Modernization Agenda. Local Government Studies, 32(4), 465-488.</p> <p>Farah, I. & Rizvi, S. (2007). Public-Private Partnerships: Implications for Primary Schooling in Pakistan, Social Policy and Administration, Vol.41(4), 339 – 354</p> <p>Ghuman, B.S. & Singh, R. (2013). Decentralization and delivery of public services in Asia. Policy and Society, 2013, Vol.32 (1), 7-21.</p> <p>Musalem, A. & Ortiz, M. (2011). Governance and social security: Moving forward on the ISSA good governance guidelines. International Social Security Review, Vol. 64(4), 9-37.</p> <p>Module 7.2</p> <p>Bassett, L., Giannozzi, S., Pop, L. & Ringold, D. (2012). Rules, Roles and Controls. Governance in Social Protection with an Application to Social Assistance. (Background Paper for the World Bank 2012-2022 Social Protection and Labor Strategy). Washington, DC: World Bank, pp. 53-70.</p> <p>Gauri, V. (2013). Redressing grievances and complaints regarding basic service delivery. World Development, 41, 109-119.</p> <p>Prennushi, G., Rubio, G., & Subbarao, K. (2001). Monitoring and evaluation. In World Bank PRSP Sourcebook (pp. 105-130).</p> <p>The Anti-Corruption Resource Centre. The basics of anticorruption (U4).</p>

United Nations Office on Drug and Crime (2004). The Global Programme against Corruption : UN Anti-Corruption Toolkit, pp. 10-23.

United Nations Development Programme (2008). Corruption and Development: Anti-corruption Interventions for Poverty Reduction, Realization of the MDGs and Promoting Sustainable Development. pp. 7-25.

World Bank (2004). World Development Report 2004: Making services work for poor people. Washington, DC: World Bank and Oxford University.

Module 7.3

Danny P. (2006). Social Security: An Introduction to the Basic Principles: ch. 2.

Humblet, M. & Silva, R. (2002). Standards for the XXIst Century: Social Security. International Labour Organization (parts).

Kremalis, K. (1993). Principles of international and European Social Security Law.

Pennings, F. (2013). Non-Discrimination on the Ground of Nationality in Social Security: What are the Consequences of the Accession of the EU to the ECHR? Utrecht Law Review, 9(1), 118-134.

Roberts, S. (2010). A short history of social security coordination. In Jorens Y. (Ed.), 50 years of Social Security Coordination: Past, Present, Future (p. 9).

Schoukens, P. (2010). General introduction to social security co-ordination in the EU.

Recommended literature

Module 7.1

Bossuyt, J. & Gould, J. (2000). Decentralization and Poverty Reduction: Establishing the Linkages (Policy Management Brief No. 12). Helsinki: University of Helsinki.

Brass, J. (2011). Blurring Boundaries: The Integration of NGOs into Governance in Kenya. Governance, 25(2), 209-235.

Chung, D.K. & Meissner, M. (2011). Public-private partnerships in social protection (Paper presented at the ASEM forum on safety nets) Hanoi, 18-19. April 2011.

Christensen, T. & Laegreid, P. (2007). The Whole of Government Approach To Public Sector Reform. Public Administration Review, Nov.-Dec.2007, 1059-1066.

Crook C. & Sverrisson, S. (2003). Does Decentralization Contribute to Poverty Reduction? In P. Houtzager & M. Moore (Eds.) Changing Paths. International Development and the New Politics of Inclusion (pp. 233-259). Ann Arbor: University of Michigan.

Houtzager, P. & Moore, M. (Eds.) (2005). Changing Paths: International Development and the New Politics of Inclusion. Ann Arbor: University of Michigan.

Katsiaouni, O. (2003). Decentralisation and Poverty Reduction: Does it Work? (Paper presented at the Fifth Global Forum on Reinventing Government). Mexico City, November 3 – 7, 2007.

Patel, L. (2012) Developmental Social Policy, Social Welfare Services and the Non-Profit Sector in South Africa, Social Policy and Administration, Vol. 46(6), 603-618

Robinson, M. (2007). Decentralising Service Delivery? Evidence and Policy Implications. IDS Bulletin, 38, 1-32.

Scott, Z. & Rao, S. (2011). Topic Guide on Decentralization and Local Government. London: Commonwealth Secretariat - GSDRC.

UNDP/UNCDF (2011). Local Government and Social Protection: Making Services Available for the Most Vulnerable. Bangkok: UNDP.

	<p>UNDP/UNCDF (2014). Strengthening the Governance of Social Protection: The Role of Local Government: Regional Analysis (A working paper prepared for the regional dialogue for social protection and local governance, organized on 30 September – 1 October 2013, in Bangkok, Thailand).</p> <p>World Bank (2004). Making Services Work for the Poor. World Development Report, Washington D.C.: World Bank.</p> <p>Module 7.2</p> <p>Barca, V. (2012). Review of, and Recommendations for, Grievance Mechanisms for Social Protection Programmes. Oxford Policy Management.</p> <p>Barrett, S. (2008). Achieving accountability in cash transfer programmes: the case of the social protection rights component of the Kenya hunger Safety Net Programme. In: Compendium of Papers Presented at the International Conference on Social Protection for the Poorest, 8th–10th September. Development Research and Training & Chronic Poverty Research Centre.</p> <p>Evans, D. K., Hausladen, S. & Kosec, K. (2012). Community Based Conditional Cash Transfers in Tanzania. World Bank.</p> <p>Grandvoinnet, H., Aslam, G. & Raha, S. (2014). Opening the Black Box. The contextual drivers of social accountability. Washington, DC: World Bank.</p> <p>Module 7.3</p> <p>Pennings, F. (2011). The Open Method of Coordination in the Area of Social Policy and the Legality Principle. In Besselink, L., Pennings, F. & Prechal, S. (Eds.) The Eclipse of the Legality Principle in the European Union. Alphen aan den Rijn: Kluwer Law International: ch. 9</p> <p>Subbarao, K., del Ninno, C., Andrews, C., & Rodríguez-Alas, C. (2012). Public works as a safety net: design, evidence, and implementation. Washington, DC: World Bank: ch. 11.</p> <p>World Bank (2011). How-to Notes: Feedback Matters: Designing Effective Grievance Redress Mechanisms for Bank-Financed Projects. Part 1: The Theory of Grievance Redress; Part 2: The Practice of Grievance Redress, Social Development Department.</p>
11	<p>Special features</p> <p>The entire module will be taught online</p>

Name of module: Social protection management					
No. / code of module 8		Workload 150 h	Credits 6 ECTS	Semester 2 nd Sem.	Frequency of module offer Summer semester
1	Courses of the module 8.1 Social protection delivery mechanisms 8.2 (a) Financial management / (b) investing social security reserves 8.3 Information management		Contact hours 45 h	Independent study 116,25 h	Group size 25-30
2	<p>Learning outcomes and competencies</p> <p>In Module 8, you learn different techniques that facilitate the management of social protection programmes. You explore the delivery process as well as delivery options in greater detail, assessing which identification and payment methods are most appropriate for a particular context. Reacting to the concern of financial risks related to social protection programming, you either immerse themselves in accounting and budgeting or in the effective management of social security reserves. You also learn how to best manage the wealth of information around recipients of social protection programmes and how to deal with challenges of data and privacy protection. You come to know and get to practise how software can support the data management process.</p> <p>In greater detail, you will...</p> <ul style="list-style-type: none">• learn different techniques that facilitate the management of social protection programmes• explore the different decisions to be made in the implementation process, how they are sequenced and interlinked• be in a position to detail and outline the different steps in the implementation processes• are familiar with challenges pertaining to the identification of recipients, payment of benefits, collection of contributions, calculation and comparison of administrative costs as well as the launch of new programmesif following 8.2a immerse yourself in the fundamentals and instruments of managerial and financial accounting, including budgeting and further management tools• if following 8.2b immerse yourself in the effective management of social security reserves• know how to best manage information around recipients of social protection programmes, how to deal with challenges of data and privacy protection and how software can support the data management process• be enabled to design and implement management information systems with their underlying databases				
3	<p>Contents of the module</p> <p>8.1 Social protection delivery mechanisms</p> <ul style="list-style-type: none">• Identification and enrolment• Contribution collection• Payment mechanisms				

	<ul style="list-style-type: none"> • Administrative costs • The role and limits of pilots <p>8.2 a Financial management</p> <ul style="list-style-type: none"> • Fundamentals of financial accounting including key financial and cash flow statements • Fundamentals of managerial accounting including budgeting • Introduction in management tools to control operating results <p>8.2 b Investing social security reserves</p> <ul style="list-style-type: none"> • Financial markets: definition and general characteristics • Nature and institutional management of social security reserves • Basic investment principles • Criteria of asset management in practice • Analytical tools <p>8.3 Information management</p> <ul style="list-style-type: none"> • Introduction - Information Management in an Overview • Plan - How to determine required information for social protection systems • Build - How to create database applications for social protection systems • Run - How to keep social protection data coherent and safe • Monitor – How to create reports for social protection programs
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, (online) discussions, group project</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Portfolio</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the portfolio</p>
8	<p>Emphasis of the grade for the final grade</p> <p>6,67% (6/90)</p>
9	<p>Person responsible for module</p> <p>Axel Weber</p> <p>Teaching staff</p> <p>8.1 Esther Schüring</p> <p>8.2a/b Axel Weber / Wolfgang Scholz</p> <p>8.3 Richard Chirchir</p>
10	<p>Required literature</p> <p>Module 8.1</p> <p>Caldés, N., Coady, D., & Maluccio, J. A. (2006). The cost of poverty alleviation transfer programs: A comparative analysis of three programs in Latin America. <i>World Development</i>, 34(5), 818–837.</p>

Devereux, S., Davies, M. et al. (2010). Social Protection in Africa: Where Next?. IDS, ODI, UEA-DEV, RHVP.

Enoff, L. D., & McKinnon, R. (2011). Social security contribution collection and compliance: Improving governance to extend social protection. *International Social Security Review*, 64(4), 99–119.

Mathauer, I., & Nicolle, E. (2011). A global overview of health insurance administrative costs: What are the reasons for variations found? *Health Policy*, 102(2-3), 235–246.

Pickens, M., Porteous, D. & Rotman, S. (2009). Banking the Poor via G2P payments (Focus Note, 58). Washington, DC: CGAP.

Samson, M., van Niekerk, I. & Mac Quene, K. (2010). Designing and Implementing Social Transfer Programmes (2nd edition). South Africa: Economic Policy Research Institute: chap. 12.

Module 8.2a

Cichon, M., Scholz, W., van de Meerendonk, A., Hagemejer, K., Bertranou, F. & Plamandon, P. (2004). Financing Social protection, Geneva: ILO/ISSA.

Lewis, T. (2013). Mango's Handbook for NGOs.

Paramasivan, C., Subramanian, T (2008), Financial Management, New Delhi: New Age International.

Module 8.2b

Cichon, M., Scholz, W., van de Meerendonk, A., Hagemejer, K., Bertranou, F. & Plamandon, P. (2004). Financing Social protection, A joint technical publication of the International Labour Office (ILO) and the International Social Security Association Geneva: ILO/ISSA. Especially Chapter 6.

Module 8.3

Barca, V. & Chirchir, R. (2014) De-mystifying data and information management concepts, DFAT, Australia

Chirchir, R. (2011). Good practice in the development of management information systems for social protection. London: HelpAge International. Pension watch briefing no 5

Lecuit, L. et al. (1999), DeMISTifying MIS: Guidelines for Management Information Systems in Social Funds. Washington D.C.: The World Bank. : ch. 1 – 5.

Recommended literature

Module 8.1

Aker, J, Boumniel, R, McClelland, A & Tierney, N (2015). Payment Mechanism and Anti-Poverty Programs: Evidence from a Mobile Money Cash Transfer Experiment in Niger (CGD Working Paper 268) Washington: Center for Global Development.

Bailey, C. & Turner, J. (2001). Strategies to reduce contribution evasion in social security financing. *World Development*, 29(2), 385-393.

Barca, V., Hurrell, A., MacAuslan, I., Visram, A. & Willis, J. (2013). Paying attention to detail. How to transfer cash in cash transfers. *Enterprise Development and Microfinance* 24 (1), 10–27.

del Ninno, C., Subbarao, K., Kjellgren, A. & Quintana, R. (2013). Improving Payment Mechanisms in Cash-Based Safety Net Programs (Discussion Paper No. 1305). The World Bank.

Jang, S. (2007). The Unification of the Social Insurance Contribution Collection System in Korea (OECD Social, Employment and Migration Working Papers, No. 55), OECD Publishing.

Strban, G. (2007). Contribution collection systems and possible measures to improve their

effectiveness. Ljubljana: CARDS.

Module 8.2a

Bodie, Z., Kane A. & Marcus, A.J. (1996), Investments. Boston, MA, Irwin McGraw-Hill, 3rd ed.

Coase, Ronald H. (1988). The nature of the firm. Reprint in Coase: The firm, the market and the law. Chicago.

EC, IMF, OECD, UN & WB (2008). System of National Accounts 2008. SNA.

Fabozzi, F.J. & Modigliani, F. (1992). Capital markets: institutions and instruments. Englewood Cliffs, NJ, Prentice Hall.

Jones, C. I. (2002). Introduction to economic growth. New York, London.

McCullough, D. (1976). The Path Between the Seas. The Creation of the Panama Canal 1870–1914. New York.

OECD (2009). National Accounts. Manual Measuring Capital (Final draft), Statistics Directorate, STD / NAD 1.

Zola, Émile (1891). L'Argent. Paris. (English title: Money)

Module 8.3

Baldeon, C. & Arribas-Banos, M. (2007). Strengthening the MIS in SP Programs: A Toolkit. Washington D.C., World Bank.

Castaneda, T. & Lindert, K. (2005). Designing and implementing Household Targeting Systems: Lessons from Latin America and the United States (World Bank Social Protection Discussion Paper Series, No 0526).

Covarrubias, F., I. Irrazaval & M. de los Angeles (2011). Sistema Integrado de Información Social: Chile: ch. 4.

De la Briere, B. & Lindert, K. (2005). Reforming Brazil's Cadastro Unico to Improve the Targeting of the Bolsa Familia Programme. World Bank, SP Unit.

GIZ (2012). Technical Workshop: Developing Management Information Systems for Social Protection. Workshop Proceedings, Bishkek, 6 November 2012.

Grosh M, del Ninno, C., Tesliuc, E. & Ouerghi, A. (2008). For Protection and Promotion: The Design and Implementation of Effective Safety Nets. Washington, D.C., International Bank for Reconstruction and Development/World Bank.

Heeks, R. (2002). Information Systems and Developing Countries: Failure, Success and Local Improvisations. The Information Society, 18,101–11

Lindert K., Hobbs, J. & de la Briere, B. (2007). The Nuts and Bolts of Brazil's Bolsa Família Program: Implementing Conditional Cash Transfers in a Decentralized Context (World Bank, SP Discussion Paper).

Mostafa J & Silva, K.C. (2007). Brazil's Single Registry Experience: A tool for pro-poor social policies. Ministry of Social Development and Fight Against Hunger.

Peterson S.B. (1998). Saints, demons, wizards and systems: why information technology reforms fail or underperform in public bureaucracies in Africa. Journal of Public Administration and Development, 18: 37-60

Peterson V. & Appel, C. (2012). Developing Management Information systems for Social Protection, Workshop Proceedings, Bishkek, 6th November 2012, GIZ.

Samson M. et al (2010). Designing and Implementing Social Transfer Programmes. Economic Policy Research Institute, Cape Town, South Africa, Second Edition.

	<p>South Africa Information Technology Agency (SITA) (2010). Establishment of a Social Security Information Centre: The comprehensive Social Security and Retirement Reform implications for IT, Government of South Africa.</p> <p>Taieb, D., & Schmitt, V. (2012), Good Practices on Single Window Services: Research on existing Single Window Services around the world, ILO publication.</p> <p>Valacich, J. & Schneider, C. (2014) Information Systems Today: Managing in the Digital World, 6. ed., Pearson Education, Harlow. (pp. 509-514).</p> <p>Veras F., Peres R. & Guerreiro R. (2007), Evaluating the Impact of Brazil's Bolsa Família: Cash Transfer Programmes in Comparative Perspective (IPC Evaluation Note, 1), International Poverty Centre, Brasilia.</p> <p>Villalobos, V.S., Blanco, G. & Bassett, L. (2010). Management Information Systems for Conditional Cash Transfers and SP Systems in Latin America: A Tool for Improved Programme Management and Evidence based Decision-Making. SP Unit, World Bank.</p> <p>Yourdon, E. (1989). Modern Structured Analysis. Upper Saddle River, NJ: Yourdon Press: ch. 12.</p>
11	<p>Special features</p> <p>The entire module will be taught online</p>

Name of module: Social protection reforms						
No. / code of module 9		Workload 150 h	Credits 6 ECTS	Semester 2 nd Sem.	Frequency of module offer Summer semester	Duration 3 weeks
1	Courses of the module 9.1 Understanding reforms 9.2 Managing reforms 9.3 Communicating reforms		Contact hours 45 h	Independent study 116,25 h		Group size 25-30
2	<p>Learning outcomes and competencies</p> <p>In Module 8, you study the need and opportunities for system reform, in reaction to socio-economic and political influences as well as technological advances. Keeping in mind that there are many obstacles even for technically sound reforms, you familiarize yourself with different reform processes in various country settings, with the legal framework as well as political economy of reforms. Furthermore, you examine as to how policy changes and reforms can be best managed and communicated so that obstacles are minimised and the general public as well as public policymakers are in support.</p> <p>In greater detail, you will...</p> <ul style="list-style-type: none">• acquaint yourself with the concept and determinants of reforms• analyse reform needs in social protection and map out policy alternatives• study different reform processes in various country settings and policy areas and see which lessons are transferable to social protection and different country contexts• discuss the legal framework of social protection reforms and advise on the necessary changes for a particular context• learn how to manage policy changes in a particular political context• examine as to how policy changes and reforms can be best communicated, using different media sources• know how to effectively engage in a political dialogue process so that obstacles are minimized and reforms actually take place					
3	<p>Contents of the module</p> <p>9.1 Understanding reforms</p> <ul style="list-style-type: none">• Conceptualization of reforms• Assessing reform needs• Describing reform patterns across countries• Explaining reforms patterns: National and international determinants of social protection reforms• Public attitudes towards reforms & their role <p>9.2 Managing reforms</p> <ul style="list-style-type: none">• Assessing reform options• Understanding the role and incentives of stakeholders involved in the reform process• Understanding reform opportunities and constraints• Exploring the impact of timing & sequencing of reform steps and complementarities to other reform areas on the political feasibility reforms• Case studies: Reforming systems in developed and developing countries• Legal framework of social protection reforms					

	<p>9.3 Communicating reforms</p> <ul style="list-style-type: none"> • Change management and communication • Communication strategy • Strategic use of media • Policy influence plan
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, online discussions</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Journal paper</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the journal paper</p>
8	<p>Emphasis of the grade for the final grade</p> <p>6,67% (6/90)</p>
9	<p>Person responsible for module</p> <p>Esther Schüring</p> <p>Teaching staff</p> <p>9.1 Katja Bender</p> <p>9.2 Katja Bender</p> <p>9.3 Susanne von Itter</p>
10	<p>Required literature</p> <p>Module 9.1 & 9.2</p> <p>Bender, K., (2013). The Political Economy of Social Protection Reforms in Developing Countries: What is to be explained and how? In Bender, K., Kaltenborn, M. & Pfeiderer, C. (Eds.) Social Protection in Developing Countries: Reforming Systems (pp.33-42), Routledge.</p> <p>Haggard, S. & Kaufman, R. (2008). Development, Democracy, and Welfare States. Princeton/Oxford: Princeton University Press.</p> <p>Hall, P. (1993). Policy Paradigms, Social Learning, and the State: the Case of Economic Policy-making in Britain, Comparative Politics, 25(4): 275-296.</p> <p>Hinrichs, K. & Kangas, O. (2003). When is a change big enough to be a system shift? Small system-shifting changes in Finnish and German Pension Policies, Social Policy and Administration, 37(6), 573-91.</p> <p>Further reading will be announced prior to the start of the course.</p> <p>Module 9.3</p> <p>Kotter, J.P. (1995). Leading Change: Why transformation efforts fail. Harvard Business Review, March-April.</p> <p>Willard, T. (2001). Dating the Decision-makers: Moving from Communications to Engagement. IISD.</p>

	<p>Recommended literature</p> <p>Module 9.3</p> <p>Leandro H. (2006). Changing the way we think about change: How does viral change work?</p> <p>Kotter, J.P. (2012). Accelerate!. Harvard Business Review, November.</p> <p>Willard, T. (2009). Social Networking and Governance for Sustainable Development. IISD.</p>
11	<p>Special features</p> <p>The entire module is taught online.</p>

Name of module: Specialization in vulnerability					
No. / code of module	Workload	Credits	Semester	Frequency of module offer	Duration
10a	200 h	8 ECTS	2 nd & 3 rd semester	Summer & winter semester	4 weeks
1	Courses of the module 10a.1 Poverty & vulnerability analysis and targeting methods 10a.2 Gender and social protection 10a.3 Child protection 10a.4 Social protection & social inclusion	Contact hours 80 h	Independent study 140 h	Group size 25-30	
2	Learning outcomes and competencies In the specialization you will have the chance to get greater technical and methodological insights into a topic that is of particular concern to any social protection system. Next to understanding the basic relevant concepts and methods, you will have the opportunity to discuss any contemporary challenges and solutions. In greater detail, you will... <ul style="list-style-type: none">• understand the different concepts and measurements of poverty and vulnerability and be able to read and interpret poverty and vulnerability profiles• be capable of making the link between the results from poverty and vulnerability analyses with questions related to how to best target the poor and vulnerable population, e.g. in the context of social protection instruments• assess gender-specific risks, gender-specific risk management options and learn how to design programs in a gender-sensitive way• have a basic understanding of the remit of child protection policy and of the differences and overlaps between social protection and child protection policies• be able to critically assess the linkages between social protection and child protection policies• be able to assess the opportunities and challenges in harmonising social protection and child protection policies• discuss the relation between social protection and disability concepts and approaches• understand the relevance of inclusion of persons with disabilities/the elderly in social protection programmes for poverty reduction and participation• be capable of critically discussing the evidence regarding mainstream as well as targeted approaches and instruments for social inclusion of persons with disabilities/the elderly				
3	Contents of the module 10a.1 Poverty & vulnerability analysis and targeting methods <ul style="list-style-type: none">• Poverty, vulnerability, social exclusion and other concepts of deprivation• Monetary versus multidimensional poverty• The classic poverty measures and how to interpret them• The use of poverty analysis for assessing the targeting performance of social protection				

	<p>measures</p> <ul style="list-style-type: none"> Measures of targeting performance <p>10a.2 Gender & social protection</p> <ul style="list-style-type: none"> Definitions and concepts – gender, gender and development, gender and vulnerability, transformative social protection Gender and national social protection strategies/ policies Gender and social protection instruments <ul style="list-style-type: none"> Gender and cash transfers Gender and public works Gender and pro-poor insurance Gender and subsidy programmes Country case studies of gender-responsive policies and programmes <p>10a.3 Child protection</p> <ul style="list-style-type: none"> Definition and concepts of child protection Linkages between social protection and child protection Impact of social protection on child protection outcomes Building synergies between social protection and child protection for implementation <p>10a.4 Social protection & social inclusion</p> <ul style="list-style-type: none"> Concepts/rights-basis/measurements/approaches regarding disability Evidence regarding figures, types and severity of disability Relationship between social protection and disability in theory and practice Country case studies of social protection programmes that include persons with disabilities in their respective socio-economic context (dev'ed, emerging and dev'ing country)
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, (online) discussions</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Portfolio</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the portfolio</p>
8	<p>Emphasis of the grade for the final grade</p> <p>8,89% (8/90)</p>
9	<p>Person responsible for module</p> <p>Esther Schüring</p> <p>Teaching staff</p> <p>10a.1 Luca Pellerano</p> <p>10a.2 Nicola Jones</p> <p>10a.3 Keetie Roelen</p> <p>10a.4 Isabella Bertmann</p>

10	<p>Required literature</p> <p>Module 10a.1</p> <p>Alkire, S. & Foster, J. (2009). Counting and Multidimensional Poverty Measurement (OPHI Working Paper No. 32). Oxford Poverty and Human Development Initiative, Oxford.</p> <p>Grosh, M. et.al. (2008). For Protection and Promotion: The Design and Implementation of Social Safety Nets. Washington, DC: The World Bank: ch. 4.</p> <p>Haughton, J., & Khandker, S.R. (2009). Handbook on Poverty and Inequality. Washington, DC: The World Bank: ch. 1-5.</p> <p>Laderchi, R., Saith, R. & Stewart, F. (2003). Does it Matter that we do not Agree on the Definition of Poverty? A Comparison of Four Approaches, Oxford Development Studies, 31(3), 243-274.</p> <p>Module 10a.2</p> <p>Antonopoulos, R. (2007). The Right to a Job, the Right Types of Projects: Employment Guarantee Policies from a Gender Perspective (Working Paper 516). Levy Institute: NY.</p> <p>Arza, C. (2012). Pension Reforms and Gender Equality in Latin America (Gender and Development Paper No. 15). Geneva: UN Research Institute for Social Development.</p> <p>Holmes, R. & Jones, N. (2013). Gender and Social Protection in the Developing World: Beyond Mothers and Safety Nets. Zed Books: London.</p> <p>Jones, N. & Holmes, R. (2011). If gender 'makes development and economic sense', why is social protection gender-blind? The politics of gender and social protection. IDS Bulletin. "Social Protection for Social Justice". November.</p> <p>Molyneux, M. (2006). Mothers at the Service of the New Poverty Agenda: Progres/Oportunidades, Mexico's Conditional Transfer Program. Social Policy and Administration 40(4): 425-49.</p> <p>Module 10a.3</p> <p>Barrientos, A., Byrne J., Villa, J. M. & Pena, P. (2013). Social Transfers and Child Protection Florence: UNICEF Office of Research Innocenti, Brooks World Poverty Institute.</p> <p>Roelen, K. & Delap, E. (2012). Researching the links between social protection and children's care in Sub-Saharan Africa: a concept note. IDS and Family for Every Child (Eds). Brighton IDS and Family for Every Child.</p> <p>Sanfilippo, M., De Neubourg, C. & Martorano, B. (2012). The Impact of Social Protection on Children (Office of Research Working Paper No. WP-2012-06) Florence: UNICEF.</p> <p>Roelen, K. & Sabates-Wheeler, R. (2012). A child-sensitive approach to social protection: serving practical and strategic needs. Journal of Poverty and Social Justice, 20(3), 291-306. doi: 10.1332/175982712X657118.</p> <p>Module 10a.4</p> <p>Gooding, K. (2009). Including persons with disabilities in social cash transfer programs in developing countries. Journal of International Development, 21(5), 685-698. doi: 10.1002/jid.1597.</p> <p>Mitra, S., Posarac, A. & Vick, B. (2011). Disability and Poverty in Developing Countries: A Snapshot from the World Health Survey (SP Discussion Paper No. 1109). Social Protection and Labour, The World Bank.</p> <p>Mitra, S. (2010). Disability Cash Transfers in the Context of Poverty and Unemployment: The Case of SA. World Development, 38(12), 1692-1709. doi: 10.1016/j.worlddev.2010.06.014.</p>
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	<p>Mitra, S. (2009). Temporary and partial disability programs in nine countries: what can the US learn from other countries? <i>Journal of Disability policy studies</i>, 20(1), 14-27.</p> <p>Palmer, M. (2013). <i>Social Protection and Disability: A Call for Action</i>. Oxford Development Studies, 41 (2), 139-154. doi: 10.1080/13600818.2012.746295</p> <p>Palmer, M. (2011). Disability and poverty: a conceptual review. <i>Journal of Disability Studies</i>, 21(4), 2010-218. doi: 10.1177/1044207310389333.</p> <p>Rischewski, D. et al. (2008). Poverty and musculoskeletal impairment in Rwanda. <i>Transactions of the Royal Society of Tropical Hygiene and Medicine</i>, 102(6), 608-617.</p> <p>United Nations (2011). <i>Disability and the Millennium Development Goals: A Review of the MDG Process and Strategies for Inclusion of Disability Issues in Millenium Development Goal Efforts</i>. New York.</p> <p>United Nations (2008). <i>United Nations Convention on the Rights of Persons with Disabilities</i>. (articles 3, 4, 24, 25, 26, 27, 28, 29, 30)</p> <p>WHO (2011). <i>World Report on Disability</i>.</p> <p>Recommended literature</p> <p>Module 10a.4</p> <p>Degener, T. & Quinn, G. (2002). <i>Human Rights and Disability: The current use and future potential of United Nations human rights instruments in the context of disability</i>. New York and Geneva: United Nations.</p> <p>Filmer, D. (2005). <i>Disability, poverty and schooling in developing countries: results from household surveys</i>. Washington, World Bank.</p> <p>Mitra, S. (2006). The capability approach and disability. <i>Journal of Disability Policy Studies</i>, 16(4), 236–247. doi: 10.1177/10442073060160040501</p> <p>Mont, D. (2004). <i>Disability Employment Policy (Social Protection Discussion Paper No. 0413)</i>. Social Protection Unit, Human Development Network, The World Bank.</p> <p>OECD (2003). <i>Transforming disability into ability: policies to promote work and income security for persons with disabilities</i>. Paris, Organization for Cooperation and Economic Development: ch. 4.</p> <p>Sepulveda, M. & Nyst, C. (2012). <i>The Human Rights Approach to Social Protection</i>. Ministry for Foreign Affairs. Finland.</p> <p>White-Harris, B. & Erb, S. (2001). <i>Outcast from social welfare: Adult disability and incapacity in rural south India</i>. Bangalore: Books for change.</p>
11	<p>Special features</p> <p>2 weeks of this module will be taught online and 2 weeks in the framework of an open summer school.</p>

Name of module: Specialization in health					
No. / code of module	Workload	Credits	Semester	Frequency of module offer	Duration
10b	200 h	8 ECTS	2 nd & 3 rd semester	Summer & winter semester	4 weeks
1	Courses of the module 10b.1 Health systems design 10b.2 Prevention and health promotion 10b.3 Health care financing 10b.4 Controlling in the health insurance system	Contact hours 80 h	Independent study 140 h	Group size 25-30	
2	Learning outcomes and competencies In the specialization you will have the chance to get greater technical and methodological insights into a topic that is of particular concern to any social protection system. Next to understanding the basic relevant concepts and methods, you will have the opportunity to discuss any contemporary challenges and solutions. You will... <ul style="list-style-type: none">• understand and critically engage with the design of health systems• develop a critical understanding of the institutional aspects of health systems within the context of a rights-based approach• know some basic aspects and methods of comparing health care systems including selected methodological problems• explore the history and main characteristics of prevention and health promotion• combine various measures into an integrated prevention system and have acquired tools and methods for prioritizing actions• be able to use international and national structures for prevention and health promotion, critically reflecting on national and global challenges• gain deeper insight into the different health financing mechanisms and their strengths and weaknesses with respect to universal health objectives• understand the function of controlling systems in modern companies in the health-care sector• be able to apply at least two instruments of strategic and operational controlling, have a basic understanding of "Management by objectives" and know the function of reporting•				
3	Contents of the module 10b.1 Health systems design <ul style="list-style-type: none">• Comparative health systems• Institutional frameworks (publicly provided and funded, social insurance, regulated private markets)• Coverage strategies• Benefit designs according to regime (guaranteed benefits)• Public finance – resource allocation				

	<ul style="list-style-type: none"> • Optimizing service delivery • Human resource planning • Accountability frameworks • Centralization and decentralization <p>10b.2 Prevention and health promotion</p> <ul style="list-style-type: none"> • Definition and concept of prevention and health promotion • Characteristics of a well-designed prevention and health promotion systems • National and international actors of prevention and health promotion • Methods and assessment tools for prevention and health promotion <p>10b.3 Health care financing</p> <ul style="list-style-type: none"> • Basic concepts: health economics basics; UHC definition, dimensions and implications; 3 health financing functions. • Health financing options: inter alia, out-of-pocket expenditures, tax-based financing, Social Health Insurance, community-based health insurance, and hybrid forms. • Necessary and sufficient conditions for UHC: obligation and subsidization, and ways to cover the informal sector and the poor. • Situation analysis and development of health financing reforms: analytical tools and country examples. <p>10b.4 Controlling in the health insurance system</p> <ul style="list-style-type: none"> • Definition, concepts and history of controlling systems • Definition, goals and instruments of strategic and operational controlling in social security organizations • Different types of controlling organizations reporting and evaluation
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, (online) discussions</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Portfolio</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the portfolio</p>
8	<p>Emphasis of the grade for the final grade</p> <p>8,89% (8/90)</p>
9	<p>Person responsible for module</p> <p>Esther Schüring</p> <p>Teaching staff</p> <p>10b.1 Imke Mathauer</p> <p>10b.2 Michael Drupp</p> <p>10b.3 Frank Vieweg</p> <p>10b.4 Alex van den Heever</p>
10	<p>Required literature</p>

Module 10b.1

Ahmed, S., et al. (2013). Institutional design and organizational practice for universal coverage in lesser-developed countries: Challenges facing the Lao PDR. *Social Science & Medicine*, 96, 250-257.

Hussey, P. & Anderson, G.F. (2003). A comparison of single- and multi-payer health insurance systems and options for reform. *Health Policy* 66(3), 215-228.

Lagomarsino, G., Garabrant, A., Adyas, A., Muga, R. & Otoo, N. (2012). Moving towards universal health coverage: health insurance reforms in nine developing countries in Africa and Asia. *The Lancet*, 380(9847), 933–43.

Mathauer, I. & Carrin, G. (2010). The role of institutional design and organizational practice for health financing performance and universal coverage. *Health Policy*, 99 (3), 183-192. doi:10.1016/j.healthpol.2010.09.013.

Mcpake, B., Yepes, F.J., Lake, S. & Sanchez, L.H. (2003). Is the Colombian health system reform improving the performance of public hospitals in Bogotá? *Health Policy And Planning*, 18(2), 182–194.

Module 10b.2

Breslow, L. (1999). From Disease Prevention to Health Promotion. *JAMA*, March, 17, 281(11), 1030-1033. doi: 10.1001/jama.281.11.1030

European Parliament (2002). Decision 786/2002/ of the European Parliament and the Council of the Action Program in Public Health (2003-2008), OJ L271.

WHO (1998). *Health 21: Health for All in the 21st Century*. Copenhagen: WHO Regional Office for Europe.

WHO (1986). *Charter For Health Promotion. An International Conference on Health Promotion*. Ottawa, Canada: WHO (1-4).

Module 10b.3

Kutzin J. (2013). Health financing for universal coverage and health system performance: concepts and implications for policy. *Bulletin of the World Health Organization*, 91 (8):602-611.

Kutzin J. (2012). Anything goes on the path to universal health coverage? No. *Bulletin of the World Health Organization*, 90, 867-868. doi: 10.2471/BLT.12.113654.

Mathauer, I. & Carrin, G. (2010). The role of institutional design and organizational practice for health financing performance and universal coverage. *Health Policy*. doi: 10.1016/j.healthpol.2010.09.013.

World Health Report (2010). *Health systems financing: the path to universal coverage*. Executive Summary: pp. 7-20 and ch. 1-3,5.

Module 10b.4

Hauer, M. & Vogler, A. (2007). *Seminar Controlling in Statutory Health Insurances (SHIs)*, Part 1 and Part 2, International Long-term Training on Social Security for Young Professionals, Göttingen (covers special questions of health insurance companies, in particular in German SHI).

Recommended literature

Module 10b.1

van Olmen, J., Criel, B., Van Damme, W., Marchal, B., Van Belle, S., Van Dormael, M., Hoérée, T., Pirard, M. & Kegels, G. (2010). *Analysing Health Systems To Make Them Stronger*. Studies in HSO&P, 27.

	<p>Van den Heever, A. M. (2012). The role of insurance in the achievement of universal coverage within a developing country context: South Africa as a case study. BMC Public Health 2012, 12 (Suppl 1):S5.</p> <p>Module 10b.2</p> <p>Wynne, R., Griffiths, J. et al (2009). Workplace Health Promotion: Training Manual. Bucharest (is focused on workplace health promotion and includes many helpful controlling tools that are also useful for other fields of health promotion in social security systems).</p> <p>Module 10b.3</p> <p>Mathauer, I., Doetinchem, O., Kirigia, J. & Carrin, G. (2011). Reaching universal coverage via social health insurance in Lesotho? Results from a financial feasibility assessment and implications. International Social Security Review, 64 (2), 45-63.</p> <p>Normand, C. et al. (2009). Social Health Insurance: A guidebook for planning. Bad Homburg v.d.H.: VAS.</p> <p>Tran, V.T., Hoang, T.P., Mathauer, I. & Nguyen, T.K. (2011). A health financing system review of Vietnam with a focus on social health insurance. Ha Noi: WHO.</p> <p>WHO (2011). System of Health Accounts 2011 (SHA 2011).</p> <p>WHO (2010). World Health Report 2010 technical briefs background series. Specifically: WHR 2010 Technical Brief No. 5 on fragmentation.</p> <p>WHO. OASIS approach (Institutional and Organizational ASsessment for Improving and Strengthening Health Financing) and country examples</p>
11	<p>Special features</p> <p>2 weeks of this module will be taught online and 2 weeks in the framework of an open summer school.</p>

Name of module: Specialization in old age						
No. / code of module 10c		Workload 200 h	Credits 8 ECTS	Semester 2 nd & 3 rd semester	Frequency of module offer Summer & winter semester	Duration 4 weeks
1	Courses of the module 10c.1 Governance of pension schemes 10c.2 Social pension 10c.3 Modelling pension systems 10c.4 Life-stage approach to age		Contact hours 80 h	Independent study 140 h	Group size 25-30	
2	<p>Learning outcomes and competencies</p> <p>In the specialization you will have the chance to get greater technical and methodological insights into a topic that is of particular concern to any social protection system. Next to understanding the basic relevant concepts and methods, you will have the opportunity to discuss any contemporary challenges and solutions.</p> <p>You will...</p> <ul style="list-style-type: none">• achieve an understanding of the relationship between social protection outcomes and institutional design of pensions systems.• study accountability frameworks and their application within alternative institutional settings, including publicly and delivered pensions schemes• achieve an understanding of the relationship between conceptual approaches and actual experience in pension system design.• explore the role of social pensions in promoting equality and development• find out how social pensions complement contributory pensions• get to design a high-level social pension scheme• build and use a simplified model of a pension system• identify the critical factors in pension system sustainability• explain concepts of ageing and the life-course and the changing conceptualizations of the life-course in development thinking• understand global demographic transition and its differential impacts on working patterns, health and care across the world• identify differing approaches to social protection throughout the life-course					
3	<p>Contents of the module</p> <p>10c.1 Governance of pension schemes</p> <ul style="list-style-type: none">• Accountability frameworks – a conceptual overview• Governance risks in pensions systems• Regulatory architecture of pensions systems• Supervisory structures• Comparative systems <p>10c.2 Social pension</p> <ul style="list-style-type: none">• Exploring demographic ageing in Asia and the world• Vulnerability in old age and the consequences for social protection systems					

	<ul style="list-style-type: none"> • Understanding the roles of a pension scheme • Design and implementation of social pension schemes • Cost and affordability: now and in the future <p>10c.3 Modelling pension systems</p> <ul style="list-style-type: none"> • Definition and characteristics of a pension system simulation model • The core principles of financing pension systems. • The role of data and treating missing data. • The main building blocks of pension system simulation models. • Use of modelling results <p>10c.4 Life-stage approach to age</p> <ul style="list-style-type: none"> • Definition and concepts of ageing and the life-course • Global demographic transition and the impact of aging on public policy • Work, livelihoods and the life-course • Social protection, ageing and the life-course • Health, care and the life-course
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, (online) discussions</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Portfolio</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the portfolio</p>
8	<p>Emphasis of the grade for the final grade</p> <p>8,89% (8/90)</p>
9	<p>Person responsible for module</p> <p>Esther Schüring</p> <p>Teaching staff</p> <p>10c.1 Alex van den Heever</p> <p>10c.2 Charles Knox</p> <p>10c.3 Matthias Zeeb</p> <p>10c.4 Mark Gorman</p>
10	<p>Required literature</p> <p>Module 10c.1</p> <p>Carmel, E., & Papdopolous, T. (2003). The New Governance of Social Security in Britain. In Millar J (Ed.) Understanding Social Security: Issues for Social Policy and Practice, Bristol: Policy press.</p> <p>Gillion, C., Turner, E., Bailey, C. & Latulippe, D. (Eds.) (2000). Social security pensions: Development and reform. Geneva: International Labour Office. (Selected chapters only)</p> <p>Héritier, A. & Lehmkuhlgoop, D. (2011). New Modes of Governance and Democratic Accountability. Government and Opposition, 46(1), 126–144.</p>

Sin, Y. (2009). Governance and the social security reform process, ISSA.

McKinnon, R. (2011). Good governance in social security administration, Editorial. *International Social Security Review*, 64.,

OECD (2009). OECD Guidelines for Pension Fund Governance.

Treib, O., Bähr, H. & Falkner, G. (2007). Modes of governance: towards a conceptual clarification. *Journal of European Public Policy*, 14:1, 1-20.

Module 10c.2

Barr, N. & Diamond, P. T (2008). Reforming Pensions (Working Paper No. 08-22) Massachusetts Institute of Technology, Department of Economics.

Fortezo, A., Lucchetti, L. & Palleres-Miralles, M. (2009). Measuring the Coverage Gap in Closing the Coverage Gap: Role of Social Pensions and Other Retirement Income Transfers. The World Bank.

Knox-Vydmanov, C. & Palacios R. (2013). The growing role of social pensions: History, taxonomy and key performance indicators. *Public Administration and Development*, 34(4), 251-264.

Palacios, R. & Sluchynsky, O. (2006). Social Pensions Part 1: Their Role in the Overall Pension System (SP Discussion Paper No.0601). The World Bank, Washington D.C.

Wilmore, L. (2006). Universal Pensions for Developing Countries. *World Development*, 35(1), 24–51.

Module 10c.3

Iyer, S. (1999). Actuarial mathematics of social security pensions.

Plamondon, P. et. al (2002). Actuarial practice in social security.

Module 10c.4

Dyson, T. (2010). *Population and Development: The Demographic Transition*. London: Zed Books Ltd.

Harper, S. (2006). *Ageing Societies: Myths, Challenges and Opportunities*. London: Routledge.

Lloyd-Sherlock, P. (2010). *Population Ageing and International Development: From Generalisation to Evidence*. Bristol: The Policy Press.

Sanderson, W. and Scherbov, S. (2008). Rethinking Age and Aging. *Population Bulletin*. 63(4).

Scharf, T. & Keating, N. (Eds.) (2012). *From Exclusion to Inclusion in Old Age: A Global Challenge*. Bristol: The Policy Press.

Spijker, J. & MacInnes, J. (2013). Population ageing: the timebomb that isn't? *British Medical Journal* 347: f6598 12 November.

Recommended literature

Module 10c.1

Alt, J. E., & Lassen, D. D. (2003). The Political Economy of Institutions and Corruption in American States. *Journal of Theoretical Politics*, 15 (3), 341–365.

Ambachtsheer, K. (2013). The pension system in Finland: Institutional structure and governance. *Evaluation of the Finnish Pension System / Part 2*, Finnish Centre for Pensions.

Eichhorst W., et al (2011). Pension systems in the EU: contingent liabilities and assets in the public and private sector (Study IP/A/ECON/ST/2010-26). Directorate general for Internal

	<p>Policies, Policy Department A: Economic and Scientific Policy.</p> <p>International Labour Organisation (2010). Governance of Social Security Systems: a Guide for Board members in Africa. International Training Centre of the International Labour Organization 2010. First edition.</p> <p>Jordan, A., Wurzel, R. & Zito, A. (2005). The Rise of 'New' Policy Instruments in Comparative Perspective: Has Governance Eclipsed Government? <i>Political Studies</i>, 53(3), 477–496.</p> <p>Kay, S.J. (2009). Political risk and pension privatization: The case of Argentina (1994-2008). <i>International Social Security Review</i>, vol 62(3), 1-21.</p> <p>Plamondon P. & Osborne D. (2001). Social security financing and investments in the Caribbean: Report of the Caribbean Sub-Regional Tripartite Meeting on Social Security Financing and Investment Policies for pension Funds (Issues in Social Protection, Discussion Paper No. 9). ILO, Geneva.</p> <p>Rauch, J. E. & Evans, P. B. (2000). Bureaucratic structure and bureaucratic performance in less developed countries. <i>Journal of Public Economics</i>, 75(1), 49–71.</p> <p>Stewart, F. & Yermo, J. (2008). Pension Fund Governance: Challenges and Potential Solutions (OECD Working Papers on Insurance and Private Pensions No. 18). OECD publishing. doi:10.1787/241402256531</p> <p>Yermo, J. (2008). Governance and Investment of Public Pension Reserve Funds in Selected OECD Countries (OECD Working papers on Insurance and Private Pensions No.15). OECD Publishing.</p> <p>Module 10c.2</p> <p>Jitsuchon, S., Skoufias, E. & Wiener, M. (2012). Reducing Elderly Poverty in Thailand: The Role of Thailand's Pension and Social Assistance Programs. Washington, DC: World Bank. .</p> <p>Long, G.T. & Pfau, W. D. (2009). Ageing, Poverty and the Role of a Social Pension in Vietnam. <i>Development and Change</i>, 40(2), 333-360.</p>
11	<p>Special features</p> <p>2 weeks of this module will be taught online and 2 weeks in the framework of an open summer school.</p>

Name of module: Specialization in return-to-work					
No. / code of module	Workload	Credits	Semester	Frequency of module offer	Duration
10d	200 h	8 ECTS	2 nd & 3 rd semester	Summer & winter semester	4 weeks
1	<div>Courses of the module</div> <div>10d.1 Disability-related Social Security and the Social Inclusion of People with Disabilities: Theories and Practices</div> <div>10d.2 Legal and Policy Imperatives for Return to Work Programs for Employees with Disabilities</div> <div>10d.3 Good Practice Standards for Return to Work</div> <div>10d.4 Refocusing Organisations and Systems on Return to Work Priorities</div>	<div>Contact hours</div> <div>80 h</div>	<div>Independent study</div> <div>140 h</div>	<div>Group size</div> <div>25-30</div>	
2	<div>Learning outcomes and competencies</div> <div>In the specialization you will have the chance to get greater technical and methodological insights into a topic that is of particular concern to any social protection system. Next to understanding the basic relevant concepts and methods, you will have the opportunity to discuss any contemporary challenges and solutions.</div> <div>You will...</div> <div><ul style="list-style-type: none">• analyse the effectiveness of a range of social and economic interventions commonly used to improve the potential for the inclusion of people with disabilities in the workforce and their retention of jobs.• be able to assess the way in which social and economic factors influence the impact of return-to-work programmes.• analyse the function, purpose and inter-relation of the three components of effective systems to support return to work : i.e. government policies and programs; employer policies and practice; and working with individuals• compare and contrast the legal principles and frameworks that provide a foundation for these components internationally.• explore the impact of government policy changes on the behaviour and choices of employers, absent workers and jobseekers with disabilities.• assess and apply the research evidence for best practice guidelines, standards and processes.• analyse how standards for good practice are interpreted and applied apply principles of good practice and theories of leadership and change to enhance organisational and</div>				

	workplace systems and structures that facilitate the employment of people of differing characteristics.
3	<p>Contents of the module</p> <p>10d.1 Social Security and Labour Market Inclusion of People with Disabilities</p> <ul style="list-style-type: none"> • Social and Economic Inclusion • Process of labour market analysis • The social safety net—does it work? Economic interventions to protect people without income • Economic and social factors that affect labour market participants and outcomes • Active inclusion and coordinated inclusive labour market strategies • The social, economic and individual benefits of job retention and RTW <p>10d.2 Legal and Policy Imperatives for Return to Work</p> <ul style="list-style-type: none"> • The International Policy and Legal Context - international laws and international organisations: ISSA, OECD, European Foundation, ILO, UNCRP • The interaction between national and international laws • Disability and society • Disability Management from a national, organizational and individual perspective <p>10d.3 Good Practice Standards for Return to Work</p> <ul style="list-style-type: none"> • Good practice guidelines for Social Security Organisations • Good practice standards for Employer Disability Management Programs • Occupational standards for Disability Management professionals and RTW Case Managers <p>10d.4 Refocusing Organisations and Systems on Return to Work Priorities</p> <ul style="list-style-type: none"> • Organizational change <ul style="list-style-type: none"> ◦ What it is and how it has developed ◦ A social approach to organisational change • Making the case for the economic, social and individual cost-benefits of Job Retention and RTW • Changing organisational and system priorities • Harmonization of policies with the legal and regulatory structure and with existing organizational policy • Ensuring that DM programs are change sensitive
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, (online) discussions</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Portfolio</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the portfolio</p>
8	<p>Emphasis of the grade for the final grade</p> <p>8,89% (8/90)</p>

9	<p>Person responsible for module</p> <p>Esther Schüring</p> <p>Teaching staff</p> <p>10d.1 Donal McAnaney</p> <p>10d.2 Donal McAnaney</p> <p>10d.3 Donal McAnaney</p> <p>10d.4 Donal McAnaney</p>
10	<p>Required literature</p> <p>Modules 10d.1-10d.4</p> <p>Butterfoss, F. D., Kelger, M. C. & Fancisco, V. T. (2008). Mobilizing Organizations for Health Promotion: Theories of Organizational Change. In K. M. Glanz, Bk. K. Rimer & K. Viswanath (Eds.), Health behavior and health education: Theory, research, and practice . 4th Edition, San Francisco, CA: Jossey –Bass: ch. 15.</p> <p>EuroFound (2010). Employment and disability: Avoiding a one-way street. Dublin: European Foundation for the Improvement of Living and Working Conditions.</p> <p>EuroFound (2012). Foundation Findings - Flexicurity: perspectives and practice. Dublin: European Foundation for the Improvement of Living and Working Conditions.</p> <p>Geisen, T. and Harder, H. (2011). Disability Management and Workplace Integration. Surrey: Gower Ashgate Publishing Ltd.</p> <p>ILO (2002). Managing disability in the workplace: ILO code of practice. Geneva: International Labour Organisation.</p> <p>ISSA (2013). ISSA Guidelines on Return to Work and Reintegration. Geneva: International Social Security Association.</p> <p>NIDMAR (1999). Occupational standards in disability management: Establishing criteria for excellence in Canada. Port Alberni, BC: National Institute of Disability Management and Research.</p> <p>NIDMAR (2004). The code of practice for disability management (2nd ed.). Port Alberni, BC: National Institute of Disability Management and Research.</p> <p>McAnaney, D. (2011). Disability Management and Organizational Change: The Disability Management Action Research Project 2009-2010 (Final Report). National Institute of Disability Management and Research, Port Alberni, Canada.</p> <p>McAnaney, D., Wynne, R., DeVos, E., Reijenga, F., Delfosse, C. & Spooren, J. (2013). Active inclusion of young people with disabilities or health problems. Dublin: European Foundation for the Improvement of Living and Working Conditions.</p> <p>Miles, J. A. (2012). Management and Organization Theory: A Jossey-Bass Reader. San Francisco: Wiley Jossey Bass.</p> <p>Niehaus, M. & Marfels, B. (2010). Competencies and Tasks of Disability Management Professionals in Germany. International Journal of Disability Management, 5(2), 67-72.</p> <p>OECD (2010). Sickness, Disability and Work: Breaking the Barriers-A Synthesis of Findings across OECD Countries. Paris: Organisation for Economic Cooperation and Development.</p> <p>OECD (2012). Sick on the Job? Myths and Realities about Mental Health and Work. Paris: Organisation for Economic Cooperation and Development.</p> <p>UN Enable (2006). UN Convention on the Rights of Persons with Disabilities. New York: United Nations.</p>

Wynne, R & McAnaney, D. (2004). Employment and disability: Back to work strategies. Dublin: European Foundation for the Improvement of Living and Working Conditions.

Recommended literature

Modules 10d.1-10d.4

Ditch, J. & Roberts, E. (2002). Integrated approaches to active welfare and employment policies. Dublin: European Foundation for the Improvement of Living and Working Conditions.

EuroFound (2008). Working conditions of an ageing workforce - Executive summary. Dublin: European Foundation for the Improvement of Living and Working Conditions.

EuroFound (2009). Foundation Findings - Demographic change and social services. Dublin: European Foundation for the Improvement of Living and Working Conditions.

EuroFound (2013). Role of governments and social partners in keeping older workers in the labour market. Dublin: European Foundation for the Improvement of Living and Working Conditions.

Grammenos, S. (2003). Illness, disability and social inclusion. Dublin: European Foundation for the Improvement of Living and Working Conditions.

Gensby, U., Lund, T., Kowalski, K., Saidj, M., Klint Jørgensen, A., Filges, T., Irvin, E., Amick III, B. C. & Labriola, M. (2012). Workplace Disability Management Programs Promoting Return to Work: A Systematic Review. Campbell Systematic Reviews.

Kegler, M.C. & Glanz, B.K. (2008). Perspectives on Group, Organization, and Community Interventions. In K.M. Glanz, B.K. Rimer and K. Viswanath (Eds.), Health behavior and health education: Theory, research and practice, 4th Edition, San Francisco, CA: Jossey-Bass: ch. 17.

McNamara, C. (2006). Clearing up the Language about Organizational Change and Development. In Field Guide to Consulting and Organizational Development: A Collaborative and Systems Approach to Performance, Change and Learning. Minneapolis: Authenticity Consulting, LLC.

OECD (2003). Transforming Disability into Ability: Policies to Promote Work and Income Security for Disabled People. Paris: Organisation for Economic Cooperation and Development.

OECD (2006). Sickness, Disability and Work: Breaking the Barriers, Norway, Poland and Switzerland (Vol.1). Paris: Organisation for Economic Cooperation and Development.

OECD (2007). Sickness, Disability and Work: Breaking the Barriers, Australia, Luxembourg, Spain and the United Kingdom (Vol.2). Paris: Organisation for Economic Cooperation and Development.

OECD (2008). Sickness, Disability and Work: Breaking the Barriers, Denmark, Finland, Ireland and the Netherlands (Vol.3). Paris: Organisation for Economic Cooperation and Development.

OECD (2010). Sickness, Disability and Work: Breaking the Barriers: Canada-Opportunities for Collaboration. Paris: Organisation for Economic Cooperation and Development. Available at:

Senge, P. M. (2006). The Fifth Discipline: The Art & Practice of the Learning Organization Revised. New York: Currency Doubleday.

Wynne, R., McAnaney, D., O'Kelly, C. & Fleming, P. (2006). Employment guidance services for people with disabilities. Dublin: European Foundation for the Improvement of Living and Working Conditions.

Wynne, R. & McAnaney, D. (2009). Preventing social exclusion through illness or disability: Models of Good Practice. Work, 32(1) 95-103.

Wynne, R. & McAnaney, D. (2010). Active inclusion of young people with disabilities or health problems - Background paper. Dublin: European Foundation for the Improvement of Living and

	Working Conditions.
11	<p>Special features</p> <p>2 weeks of this module will be taught online and 2 weeks in the framework of an open summer school.</p>

Name of module: Specialization in climate change						
No. / code of module		Workload	Credits	Semester	Frequency of module offer	Duration
10e		200 h	8 ECTS	2 nd & 3 rd semester	Summer & winter semester	4 weeks
1	Courses of the module 10e.1 Theory and Concepts of Climate Change and Climate Change Adaptation 10e.2 Climate Change Adaptation and Disaster Risk Reduction- Policy and Practice 10e.3 Climate Change, Disaster Risk & Social Protection - the Nexus 10e.4 Innovative Financial Solutions to serve the Nexus		Contact hours 80 h	Independent study 140 h	Group size 25-30	
2	Learning outcomes and competencies In the specialization you will have the chance to get greater technical and methodological insights into a topic that is of particular concern to any social protection system. Next to understanding the basic relevant concepts and methods, you will have the opportunity to discuss any contemporary challenges and solutions. You will... <ul style="list-style-type: none">• describe the concept, causes and main effects of climate change• identify vulnerable geographic locations, economic/livelihood sectors, and populations in the world• describe how climate change is affecting, and is likely to affect, the world different communities, economic sectors and countries• explain what a changing climate means for people and their livelihoods• identify gaps, challenges & limitations in linking these development concerns• identify the opportunities for different regions of the world to provide holistic and complementary solutions to these challenges• reflect on the progress achieved so far as results of major international dialogues and summits and on different aspects relevant for the implementation of Paris and New York climate negotiations.• increase the understanding of what does it mean to integrate climate change, disaster risk and social protection• articulate a vision for social protection measures and describe what is needed to build resilience to climate change in the context.• highlight potential adaptation benefits of different social protection interventions.					

	<ul style="list-style-type: none"> • explain the main approaches related to social protection to address climate change (adaptation and mitigation) and how to integrate these responses into DRR initiatives. • study different financial solutions that are currently offered to insure climate-related risks • discuss the factors of success and limitations of these approaches in different country contexts • investigate the need for complementary interventions
3	<p>Contents of the module</p> <p>10e.1 Theory and Concepts of Climate Change and Climate Change Adaptation</p> <p>10e.2 Climate Change Adaptation and Disaster Risk Reduction- Policy and Practice</p> <p>10e.3 Climate Change, Disaster Risk & Social Protection - the Nexus</p> <p>10e.4 Innovative Financial Solutions to serve the Nexus</p>
4	<p>Teaching and learning methods</p> <p>Interactive lecturing, exercises, (online) discussions</p>
5	<p>Pre-requisites</p> <p>None</p>
6	<p>Methods of examination</p> <p>Portfolio</p>
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the portfolio</p>
8	<p>Emphasis of the grade for the final grade</p> <p>8,89% (8/90)</p>
9	<p>Person responsible for module</p> <p>Esther Schüring</p> <p>Teaching staff</p> <p>10e.1 Paul Desanker</p> <p>10e.2 Riyanti Djalante</p> <p>10e.3 Anne Kuriakose</p> <p>10e.4 Thomas Loster</p>
10	<p>Required literature</p> <p>Modules 10e.1</p> <p>Modules 10e.2</p> <p>Modules 10e.3</p>

	<p>Modules 10e.4</p> <p>Recommended literature</p> <p>Modules 10e.1</p> <p>Modules 10e.2</p> <p>Modules 10e.3</p> <p>Modules 10e.4</p>
11	<p>Special features</p> <p>2 weeks of this module will be taught online and 2 weeks in the framework of an open summer school.</p>

Name of module: Master thesis					
No. / code of module 11	Workload 625 h	Credits 25 ECTS	Semester 3rd Sem.	Frequency of module offer Winter semester	Duration 4 months
1	Courses of the module Thesis	Contact hours	Independent study 625 h	Group size	
2	<p>Learning outcomes and competencies</p> <p>The master's thesis marks the end of the study programme where you will demonstrate your capacity to come up with a relevant research questions, independently devise a research design, conduct your own research and write up your findings in a succinct manner. Your master's thesis can have a stronger theory or practice-focus and allows you to become a subject matter specialist in one of the topics in social protection.</p> <p>You will...</p> <ul style="list-style-type: none"> • know how to scientifically approach a complex research question • conduct a systematic literature review • come up with an appropriate research design • conduct your own research and write up your research findings 				
3	<p>Contents of the module</p> <p>Thesis</p> <ul style="list-style-type: none"> • Academic research and write-up 				
4	Teaching and learning methods				
5	<p>Pre-requisites</p> <ul style="list-style-type: none"> • Module 5 has to be successfully passed • A total of 46 ECTS have to be accumulated 				
6	<p>Methods of examination</p> <p>Thesis</p>				
7	<p>Pre-requisites for obtaining the credits</p> <p>Passing the master's thesis</p>				
8	<p>Emphasis of the grade for the final grade</p> <p>27,78% (25/90)</p>				
9	<p>Person responsible for module</p> <p>Esther Schüring</p>				